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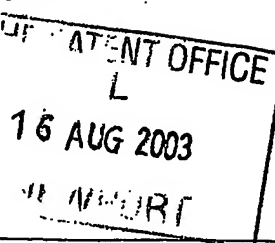
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P01/7700 0.00-0319321.6

Request for grant of a patent

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The Patent Office

Cardiff Road
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South Wales
NP10 8QQ

1. Your reference

P318931/CMU/RTH/RMC

2. Patent application number

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16 AUG 2003

0319321.6

3. Full name, address and postcode of the or of each applicant (underline all surnames)

AorTech International plc
Phoenix Crescent
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07728249001

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

4. Title of the invention

"Valve"

5. Name of your agent (if you have one)

Murgitroyd & Company

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Scotland House
165-169 Scotland Street
Glasgow
G5 8PL

Patents ADP number (if you know it)

1198013

00001198015

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
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Description

59

Claim(s)

- DL

Abstract

-

Drawing(s)

23 + 23

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature Murgitroyd & Company Date 15 August 2003
Murgitroyd & Company

12. Name and daytime telephone number of person to contact in the United Kingdom

ROISIN MCNALLY

0141 307 8400

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1 "Valve"

2
3 The present invention relates to artificial heart
4 valves, more particularly to flexible leaflet heart
5 valves which are used to replace natural aortic or
6 pulmonary valves of the heart.

7
8 Ideally artificial heart valves should work in a
9 similar fashion to natural heart valves in that when
10 blood flows in a particular direction the valve
11 adopts an open position to permit blood flow through
12 it, whereas when blood tries to flow in the opposite
13 direction the valve adopts a closed position
14 preventing the flow of blood in the reverse
15 direction through the valve (regurgitation).

16
17 Natural heart valves use thin flexible tissue
18 leaflets as the closing members. In the closed
19 position the leaflets are arranged such that each
20 leaflet contacts its neighbour. This arrangement
21 serves to close the valve and prevent the back flow
22 of blood through the valve. In the open position

1 the leaflets separate from each other and move
2 radially towards the inner walls of the blood vessel
3 in which the valve is located. This open
4 configuration of the valve permits the flow of blood
5 through the valve.

6
7 A number of artificial cardiac valves have been
8 produced which comprise leaflets which open and
9 close in a similar fashion to natural valve
10 leaflets. However, although the artificial valves
11 work in a similar manner to the natural valves, the
12 geometries of the leaflets differ due to the
13 properties of the materials used in the construction
14 of the synthetic heart valves.

15
16 A number of factors have to be considered when
17 designing artificial heart valves of similar design
18 to natural heart valves. These include the pressure
19 gradient required to open and close the leaflets of
20 the valve, regurgitation, blood handling and
21 durability of the valve.

22
23 The leaflets of both natural and synthetic heart
24 valves must be capable of withstanding a high back
25 pressure across the valve when they are in the
26 closed position, yet be capable of opening with a
27 minimum of pressure across the valve in the forward
28 direction of blood flow.

29
30 This is necessary to ensure correct operation of the
31 valve even when blood flow is low. Further the
32 valve should open quickly and as wide as possible

1 when blood flows in the desired direction. The
2 maximum orifice of the valve in the open position is
3 generally dictated by the width of the valve.
4

5 In order to minimise closing regurgitation (reverse
6 blood flow through the closing valve) in the closed
7 position of the valve, the free edges of the
8 leaflets should come together to form a seal to
9 minimise the reverse flow of blood.
10

11 The valve design and the materials used for valve
12 construction should minimise the activation of both
13 the coagulation system and platelets. The flow of
14 blood through the valve should avoid exposing blood
15 to either regions of high shear or relative stasis.
16

17 In addition, in order to be suitable for
18 implantation, synthetic valves should be
19 sufficiently durable such that they are clinically
20 functional for at least 20 years. Durability of the
21 synthetic leaflets depends on the materials from
22 which the leaflets are constructed and also the
23 stresses to which the leaflets are subjected during
24 use. Although several materials have suitable
25 hydrodynamic properties, many valves constructed
26 using materials with suitable hydrodynamic
27 properties fail during use, due to fatigue caused by
28 the repeated stresses of cycling from a closed to an
29 open position.
30

31 Conventional heart valves typically comprise an
32 annular frame disposed perpendicular to the blood

1 flow. The annular frame generally has three posts
2 extending in the downstream direction defining three
3 generally U-Shaped openings or scallops between the
4 posts. The leaflets are attached to the frame
5 between the posts along the edges of the scallops
6 and are unattached at the free edges of the leaflets
7 adjacent to the downstream ends of the posts.
8 A valve design, comprising a leaflet geometry which
9 was elliptical in the radial direction and
10 hyperbolic in the circumferential direction in the
11 closed valve position, with leaflets dip-coated from
12 non-biostable polyurethane solutions onto injection-
13 moulded polyurethane frames has attained
14 durabilities in excess of 800 million cycles during
15 *in vitro* fatigue testing (Mackay TG, Wheatley DJ,
16 Bernacca GM, Hindle CS, Fisher AC. New polyurethane
17 heart valve prosthesis: design, manufacture and
18 evaluation. *Biomaterials* 1996; 17:1857-1863; Mackay
19 TG, Bernacca GM, Wheatley DJ, Fisher AC, Hindle CS.
20 *In vitro* function and durability assessment of a
21 polyurethane heart valve prosthesis. *Artificial*
22 *Organs* 1996; 20:1017-1025; Bernacca GM, Mackay TG,
23 Wheatley DJ. *In vitro* function and durability of a
24 polyurethane heart valve: material considerations. *J*
25 *Heart Valve Dis* 1996; 5:538-542; Bernacca GM, Mackay
26 TG, Wilkinson R, Wheatley DJ. Polyurethane heart
27 valves: fatigue failure, calcification and
28 polyurethane structure. *J Biomed Mater Res* 1997;
29 34:371-379; Bernacca GM, Mackay TG, Gulbransen MJ,
30 Donn AW, Wheatley DJ. Polyurethane heart valve
31 durability: effects of leaflet thickness. *Int J*
32 *Artif Organs* 1997; 20:327-331.). However, this

1 valve design became unacceptably stenotic in small
2 sizes. Thus, a redesign was effected, changing the
3 hyperbolic angle from the free edge to the leaflet
4 base, and replacing the injection-moulded frame with
5 a rigid, high modulus polymer frame. This redesign
6 permitted the use of a thinner frame, thus
7 increasing valve orifice area. This valve design,
8 with a non-biostable polyurethane leaflet material,
9 was implanted in a growing sheep model. Over the six
10 month implant period the region close to the frame
11 posts on the inflow side of the valve, at which full
12 leaflet opening was not achieved, suffered a local
13 accumulation of thrombus (Bernacca GM, Raco L,
14 Mackay TG, Wheatley DJ. Durability and function of a
15 polyurethane heart valve after six months *in vivo*.
16 Presented at the XII World Congress of International
17 Society for Artificial Organs and XXVI Congress of
18 the European Society for Artificial Organs,
19 Edinburgh, August 1999. Wheatley DJ, Raco L,
20 Bernacca GM, Sim I, Belcher PR, Boyd JS.
21 Polyurethane: material for the next generation of
22 heart valve prostheses? *Eur. J. Cardio-Thorac. Surg.*
23 2000; 17; 440-448). This valve design used non-
24 biostable polyurethane, which had tolerable
25 mechanical durability, but which showed signs of
26 polymer degradation after six months *in vivo*.
27
28 International Patent Application WO 98/32400
29 entitled "Heart Valve Prosthesis" discloses a
30 further design, using closed leaflet geometry,
31 comprising essentially a trileaflet valve with
32 leaflets moulded in a geometry derived from a sphere

1 towards the free edge and a cone towards the base of
2 the leaflets. The spherical surface, defined by its
3 radius, is intended to provide a tight seal when the
4 leaflets are under back pressure, with ready opening
5 provided by the conical segment, defined by its
6 half-angle, at the base of the leaflets. It is
7 stated that where the spherical portion is located
8 at the leaflet base, an advantage is provided in
9 terms of the stress distribution when the valve is
10 closed and under back pressure.

11
12 U.S. Patent No. 5,376,113 entitled "Closing Member
13 Having Flexible Closing Elements, Especially a Heart
14 Valve" issued December 27, 1994 to Jansen et al.
15 discloses a method of producing flexible heart valve
16 leaflets using leaflets attached to a base ring with
17 posts extending from this upon which the leaflets
18 are mounted. The leaflets are formed with the base
19 ring in an expanded position, being effectively of
20 planar sheets of polymer, which become flaccid on
21 contraction of the ring. The resulting valve is
22 able to maintain both a stable open and a stable
23 closed position in the absence of any pulsatile
24 pressure, though in the neutral unloaded position
25 the valve leaflets contain bending stresses. As a
26 consequence of manufacturing the valve from
27 substantially planar sheets, the included angle
28 between the leaflets at the free edge where they
29 attach to the frame is 60° for a three leaflet valve.

30
31 U.S. Patent No. 5,500,016 entitled "Artificial Heart
32 Valve" discloses a valve having a leaflet shape

1 defined by the mathematical equation $z^2 + y^2 = 2RL$
2 $(x-g) - \alpha(x-g)^2$, where g is the offset of the leaflet
3 from the frame, RL is the radius of curvature of the
4 leaflet at $(g,0,0)$ and α is the shape parameter and
5 is >0 and <1 .

6
7 A valve design having a partially open configuration
8 when the valve is not subject to a pressure
9 gradient, but assuming a fully-open position during
10 forward flow is disclosed in International Patent
11 Application WO 97/41808 entitled "Method for
12 Producing Heart Valves". The valve may be a
13 polyurethane trileaflet valve and is contained
14 within a cylindrical outer sleeve.

15
16 International Patent Application WO 01/41679
17 discloses a heart valve wherein the leaflets have
18 been designed to facilitate wash out of the whole
19 leaflet orifice including the area close to the
20 frame posts. This Application teaches that stresses
21 are highest in the region of the commissures where
22 loads are transmitted to the stent, but they are
23 reduced when the belly of the leaflet is as low as
24 practicable in the closed valve. To ensure a belly
25 in the leaflet, the above application indicates that
26 there must be sufficient material in the leaflet.
27 Further this application indicates that under back
28 pressure, in the closed position, the shape of the
29 leaflet can be defined by elliptical or hyperbolic
30 coordinates.

31

1 A number of designs have been suggested for use in
2 cardiac heart valves to ensure that the heart valves
3 have sufficient leaflet material such that the valve
4 is capable of opening as wide as the maximum
5 possible orifice of the valve, that such opening
6 requires as little energy as possible and further
7 that regurgitation of blood through the valve is
8 minimised.

9
10 Previous designs of synthetic heart valves have been
11 based on tissue valves which have different material
12 properties to synthetic materials.

13
14 According to the present invention there is provided
15 a cardiac valve prosthesis comprising:

16
17 a frame and at least two flexible leaflets;
18
19 wherein the frame comprises an annular portion
20 which, in use, is disposed substantially
21 perpendicular to the blood flow, the frame
22 having first and second ends, one of the ends
23 defining at least two scalloped edge portions
24 separated and defined by at least two posts,
25 each leaflet being attached to the frame along
26 a scalloped edge portion and being movable
27 between an open and a closed position,

28
29 each of the at least two leaflets having a
30 blood inlet side, a blood outlet side and at
31 least one free edge, the at least two leaflets
32 being in a closed position when fluid pressure

1 is applied to the outlet side such that the at
2 least one free edge of a first leaflet is urged
3 towards the at least one free edge of a second
4 or further leaflet, and the at least two
5 leaflets being in an open position when fluid
6 pressure is applied to the blood inlet side of
7 the at least two leaflets such that the at
8 least one free edge of the first leaflet is
9 urged away from the at least one free edge of
10 the second or further leaflet;

11
12 wherein in a first plane perpendicular to the
13 blood flow axis the length of each leaflet in a
14 circumferential direction (XY) between the
15 posts at any position along the longitudinal
16 axis (Z) of a post is defined by a parabolic
17 function.

18
19 Previous designs have not considered the stresses
20 applied to the leaflets during the cycling of
21 opening and closing of the valve.

22
23 It is advantageous to provide a synthetic valve
24 leaflet geometry that minimises the stresses present
25 in the leaflets of the valve during cycling from the
26 closed to the open position and back to the closed
27 position in order to increase the lifetime of the
28 synthetic leaflets.

29
30 Preferably the length of a leaflet in the
31 circumferential direction (XY) between the posts at

1 any position along the longitudinal axis (Z) of a
 2 post (Z) is defined by the function:

3

4 Function of a parabola

$$5 \quad Y_z = \left(\frac{4R}{L_z^2} \right) x \cdot (L_z - x)$$

6

7 Wherein Y_z = Y offset at a particular co-ordinate X
 8 and Z

9 R = parabolic maximum

10 L_z = straight line distance between a
 11 first post and a second post of the frame
 12 at a height Z

13 x = distance from origin of post towards
 14 another post

15

$$16 \quad \text{Length} = \int_0^L \sqrt{1 + \left(\frac{dy}{dx} \right)^2} dx$$

17

18 It is understood that a parabolic function includes
 19 any pseudotrigonmetric, pseudoelliptical, smooth
 20 function or table of values that describe a geometry
 21 which is substantially parabolic.

22

23 The use of a pseudo function to describe a parabolic
 24 function will be obvious to one skilled in the art.

25

26 A method of manufacturing a cardiac valve prosthesis
 27 of comprising the steps,

28

- 1 providing a model of a model of a heart valve
- 2 comprising a frame and at least two flexible
- 3 leaflets,
- 4
- 5 a) generating loads experienced by at least one
- 6 heart valve leaflet in use and applying these
- 7 to the model,
- 8
- 9 b) determining the stress distribution of the
- 10 leaflet,
- 11
- 12 c) changing the circumferential length of the
- 13 leaflet in XY for any position in Z,
- 14
- 15 d) determining the new stress distribution of
- 16 the leaflet,
- 17
- 18 e) repeating steps C and D to minimise local
- 19 stress concentrations in the leaflet.
- 20
- 21 Preferably the method further includes the step of
- 22 adjusting the model to account for factors which
- 23 influence the stress distribution of the leaflet
- 24 during the cycling of the heart valve between an
- 25 open and closed position.
- 26
- 27 Preferably the cast shape of the leaflet in the
- 28 circumferential direction (XY) at any position along
- 29 the longitudinal axis (Z) of a post is defined by a
- 30 first wave having a first frequency.
- 31

1 Once the length in XY of the leaflet, in respect of
2 the frame has been determined the cast shape can be
3 defined to allow moulding of the leaflet on a
4 former.

5

6 Preferably the first wave is a sinusoidal wave.

7

8 More preferably the cast shape of the leaflet in the
9 circumferential direction (XY) at any position along
10 the longitudinal axis (Z) of a post is defined by at
11 least two waves of differing frequencies, which form
12 a composite wave.

13

14 Preferably the length of the leaflet in the
15 circumferential direction (XY) between the posts at
16 any position along the longitudinal axis (Z) of a
17 post is defined by a parabolic function and at least
18 one correction factor.

19

20 Preferably a correction factor is used to compensate
21 for inward movement of the prosthesis posts on
22 closure of the valve.

23

24 Closure of the leaflets, by the backward flow of
25 blood, may cause the posts of the stent to move
26 inwardly to some extent. The posts will typically
27 move inwardly to a greater extent at their tips than
28 where the posts meet the frame.

29

30 Preferably a correction factor is used to compensate
31 for stretch in leaflet material on closure of the
32 valve.

1

2 Preferably a correction factor is used to compensate
3 for movement in the notional point of coincidence of
4 the leaflets.

5

6 Preferably the first wave is asymmetric about the
7 vertical mid plane parallel to and intersecting the
8 blood flow axis of the leaflets.

9

10 Preferably the composite wave is asymmetric about
11 the vertical mid plane parallel to and intersecting
12 the blood flow axis of the leaflets.

13

14 Preferably the valve comprises three leaflets.

15

16 Preferably the frame has first and second ends, the
17 first end defining at least three scalloped edge
18 portions separated by at least three posts, each
19 leaflet attached to the frame along a corresponding
20 scalloped edge portion.

21

22 Preferably the three posts are rotationally
23 symmetrically distributed around the circumference
24 of the frame.

25

26 Preferably the frame is a collapsible stent.

27

28 Preferably the collapsible stent can be delivered to
29 the patient by percutaneous delivery.

30

31 More preferably the collapsible stent can be moved
32 from a collapsed to an erect position using an

1 inflatable balloon when the stent is suitably
2 located in the patient.

3
4 According to a second aspect of the invention there
5 is a method of making a cardiac valve prosthesis
6 wherein the method comprises;

7
8 - providing a forming element having at least
9 two leaflet-forming surfaces wherein the
10 forming surfaces are such that the length in
11 the circumferential direction (XY) of the
12 leaflet-forming surface is defined by a
13 parabolic function,
14 - engaging the forming element with the frame,
15 - applying a coating over the frame and the
16 engaged forming element, the coating binding to
17 the frame, the coating over the leaflet-forming
18 surfaces forming at least two flexible
19 leaflets, the at least two flexible leaflets
20 having a length in the circumferential
21 direction (XY) defined by a parabolic function
22 and a surface contour such that when the first
23 leaflet is in the neutral position an
24 intersection of the first leaflet with at least
25 one plane perpendicular to the blood flow axis
26 forms a first wave having a first frequency,
27 - disengaging the frame from the forming
28 element.

29
30 The leaflets are in a neutral position intermediate
31 to the open and closed position in the absence of
32 fluid pressure being applied to the leaflets.

1
2 Preferably there is provided a method of making a
3 cardiac valve prosthesis wherein the method
4 comprises;

- 5
6 - providing a forming element having at least
7 two leaflet-forming surfaces wherein the
8 forming surfaces are such that the length in
9 the circumferential direction (XY) of the
10 leaflet-forming surface is defined by a
11 parabolic function,
12 - engaging the forming element with the frame,
13 - applying a coating over the frame and the
14 engaged forming element, the coating binding to
15 the frame, the coating over the leaflet-forming
16 surfaces forming at least two flexible
17 leaflets, the at least two flexible leaflets
18 having a length in the circumferential
19 direction (XY) defined by a parabolic function
20 and a surface contour such that when the first
21 leaflet is in the neutral position an
22 intersection of the first leaflet with at least
23 one plane perpendicular to the blood flow axis
24 forms a composite wave defined by at least two
25 waves of differing frequency,
26 - disengaging the frame from the forming
27 element.

28
29 The application of a wave function to the leaflets
30 in an XY direction is useful in the production of
31 the leaflets.
32

1 It is preferred that the stent comprises three
2 posts. In this embodiment a method of making a
3 cardiac valve prosthesis comprises;

- 4
- 5 - providing a forming element having three
 - 6 leaflet-forming surfaces wherein the forming
 - 7 surfaces are such that the length in the
 - 8 circumferential direction (XY) of the
 - 9 leaflet-forming surface is defined by a
 - 10 parabolic function,
 - 11 - engaging the forming element with the frame,
 - 12 - applying a coating over the frame and the
 - 13 engaged forming element, the coating binding to
 - 14 the frame, the coating over the leaflet-forming
 - 15 surfaces forming three flexible leaflets, the
 - 16 three flexible leaflets each having a length in
 - 17 the circumferential direction (XY) defined by a
 - 18 parabolic function and a surface contour such
 - 19 that when the first leaflet is in the neutral
 - 20 position an intersection of the first leaflet
 - 21 with at least one plane perpendicular to the
 - 22 blood flow axis forms a wave defined by at
 - 23 least a first wave, having a first frequency,
 - 24 - disengaging the frame from the forming
 - 25 element.

26 The wave applied to the leaflet can be more
27 complicated than a single wave function, where this
28 is the case preferably there is provided a method of
29 making a cardiac valve prosthesis wherein the method
30 comprises;

- 31 - providing a forming element having three
- 32 leaflet-forming surfaces wherein the forming

1 surfaces are such that the length in the
2 circumferential direction (XY) of the leaflet-
3 forming surface is defined by a parabolic
4 function,

5 - engaging the forming element with the frame,
6 - applying a coating over the frame and the
7 engaged forming element, the coating binding to
8 the frame, the coating over the leaflet forming
9 surfaces forming three flexible leaflets, the
10 three flexible leaflets each having a length in
11 the circumferential direction (XY) defined by a
12 parabolic function and a surface contour such
13 that when the first leaflet is in the neutral
14 position an intersection of the first leaflet
15 with at least one plane perpendicular to the
16 blood flow axis forms a composite wave defined
17 by at least two waves of differing frequency,
18 - disengaging the frame from the forming
19 element.

20
21 It will be appreciated by those skilled in the art
22 that leaflets of appropriate lengths and shape can
23 be formed using dip moulding, conventional injection
24 moulding, reaction injection moulding or compression
25 moulding.

26
27 Dip moulding can be used to form surgical implants
28 of relatively complex shapes. Typically dip
29 moulding is achieved by dipping a former into
30 synthetic resin or plastic material, removing the
31 former from the synthetic resin or plastics material
32 and allowing the resultant coating of synthetic

1 resin or plastics material on the former to dry or
2 cure. The moulded article is then removed from the
3 former.

4
5 A disadvantage of dip moulding, as described above,
6 is that during the moulding of intricate shapes,
7 bubbles of air frequently become trapped in the
8 cavities or recesses of the mould template. These
9 bubbles of air remain trapped in the moulded article
10 when the article is cured and give rise to holes or
11 pits in the moulded article rendering the moulded
12 article unsuitable for use. Another problem
13 encountered is that of providing an even coating for
14 articles of complex geometry. For example,
15 precision coating is essential for producing
16 surgical implants all of intricate shapes such as
17 prosthetic heart valves. In particular, this
18 problem is encountered when more viscous moulding
19 materials are used for moulding.

20
21 Another aspect of the present invention is an
22 apparatus for moulding heart valve leaflets
23 comprising:

- 24
25 (i) at least one platform adapted to hold at
26 least one former;
27 (ii) at least one housing having an open end
28 adapted to fit over said at least one
29 former;
30 (iii) sealing means for reversibly sealing said
31 housing to said platform to form a closed

- 1 chamber suitable for holding a moulding
2 solution;
3 (iv) means for inverting said closed chamber;
4 (v) closeable inlet means for introducing a
5 moulding solution into the closed chamber;
6 and
7 (v) closeable outlet means for releasing a
8 moulding solution from the housing.
9

10 It has been found that by using the apparatus of the
11 present invention to: submerge a former in a
12 moulding solution; invert said former whilst in the
13 moulding solution; and then isolate the former from
14 the moulding solution so that the coating thus
15 formed on the former can be dried or cured, some of
16 the problems of the prior art can be minimised. In
17 particular, inversion of the former whilst in the
18 moulding solution reduces the amount of bubbles
19 formed in the coating. Furthermore, the apparatus
20 of the present invention enables more efficient use
21 of moulding solution and lends itself advantageously
22 to batch processing.

23
24 According to another aspect of the present invention
25 there is provided a method of moulding an article
26 using the above-described apparatus comprising:

- 27 (i) attaching a former to the platform;
28 (ii) sealing the housing to said platform to form
29 a closed chamber;
30 (iii) filling said closed chamber with moulding
31 solution until the former is submerged;
32 (iv) inverting said closed chamber;

1 (v) isolating the coated former from the
2 moulding solution by either breaking the
3 seal and raising the platform or by draining
4 the moulding solution from the closed
5 chamber via the outlet means.

6

7 Preferably the leaflet is formed from any biostable
8 and biocompatible material.

9

10 More preferably the leaflet is formed from Elasteon.

11

12 Preferably the former is comprised of at least two
13 portions releasably attached to each other.

14

15 Preferably releasable attachment is provided by a
16 screw.

17

18 A first portion of the former may be a frame
19 mounting portion and a second portion may be a base
20 portion.

21

22 The leaflet as described above with length XY
23 determined by a parabolic function, can be further
24 modified to improve the stress and strain
25 characteristics of the leaflet.

26

27 Preferably the method of making a cardiac valve
28 prosthesis further comprises trimming the free edge
29 of at least one leaflet.

30

1 More preferably the method further comprises
2 trimming the free edge of at least one leaflet in
3 the longitudinal direction (Z) of the leaflet.
4

5 More preferably the free edge of the leaflet is
6 trimmed such that in the longitudinal direction (Z)
7 the free edge of at least one leaflet is parabolic.
8

9 Preferably the free edge of at least one leaflet is
10 parabolic in the longitudinal direction toward the
11 second end of the frame such that the maximum depth
12 of the parabolic cut is between 50 μ m to 1000 μ m lower
13 than the notional free edge of the leaflet.
14

15 Conventional blades are presently used to cut
16 moulded devices formed from plastics or synthetic
17 resin material formed by dip moulding, however these
18 conventional blades become blunted over a relatively
19 short period of time, leading to the production of
20 moulded devices with a poor surface finish on the
21 cut edge.
22

23 Preferably the free edge of the leaflet is cut using
24 an ultrasonic cutting device.
25

26 Preferably the ultrasonic cutting device comprises
27 (i) an ultrasonic transducer;
28 (ii) an elongate blade; and
29 (iii) attachment means to enable detachable
30 attachment of the blade to the transducer so
31 that, in operation, the transducer causes

1 the blade to vibrate in a direction along
2 the longitudinal axis of the blade.

3
4 It has been found that, for a given ultrasonic
5 frequency, by altering the dimensions of an elongate
6 blade, optimal operation of the cutting device can
7 be achieved. Reducing the amplitude of vibrations
8 perpendicular to the plane of the blade results in a
9 cleaner cut. It has been found that by having a
10 blade of this particular construction precise
11 cutting of synthetic resin and plastics materials
12 can be achieved. The cutting device of the present
13 invention is suitable for cutting acetyls,
14 polyurethane and polymeric materials. The cutting
15 device of the present invention is suitable for
16 moulded surgical implants such as prosthetic heart
17 valves.

18
19 Preferably the blade has a width to length ratio of
20 between 0.1 to 0.4. By width means the width of the
21 widest part of the blade and by length is meant the
22 length of the longest part of the blade.

23
24 Preferably the elongate blade has a length in the
25 range of 20 to 30 mm, a thickness in the range of
26 0.5 to 2 mm and a width in the range of 2 to 10 mm.
27 More preferably the width of the blade is between 5
28 and 8 mm.

29
30 Preferably the ultrasonic transducer or motor
31 produces vibrational energy at of a frequency of 15
32 Hz.

1
2 The blade is provided with a terminal end, which is
3 the end furthest away from the transducer, which
4 terminal end may have a single cutting edge and this
5 may be rounded in shape. Preferably the blade has a
6 plurality of cutting edges. Preferably the blade
7 has cutting edges along its longitudinal sides which
8 form a point at the terminal end of the blade, for
9 example in an arrowhead configuration. Preferably,
10 the longitudinal sides are arcuate in shape. In one
11 embodiment the blade is needle-shaped. Preferably
12 the blade is symmetrical in shape about its
13 longitudinal axis.

14
15 The blade may be constructed from stainless steel,
16 mild steel or ceramic material. Preferably the
17 blade is constructed from a ceramic material.
18 Ceramic material is harder than steel and remains
19 cooler during operation of the cutting device as
20 there is less heat transfer to the blade.

21
22 In another aspect of the present invention there is
23 provided an ultrasonic cutting apparatus comprising
24 (i) the above-described ultrasonic cutting
25 device;
26 (ii) a stylus for guiding the blade of the
27 cutting device on the surface of the article
28 to be cut which stylus comprises a rotatable
29 ball bearing mounted on an arm; and
30 (iii) attachment means for attaching the stylus to
31 the ultrasonic cutting device.

32

1 The stylus is positioned so that, in operation, the
2 ball bearing is in contact with the surface of the
3 article to be cut. Preferably the rotatable ball
4 bearing is positioned above, but not in contact
5 with, the terminal end of the blade. Preferably the
6 outer most part of the rotatable ball bearing does
7 not extend to the outermost tip of the terminal end
8 of the blade so that while the ball bearing is in
9 contact with the article to be cut, the cutting edge
10 of the terminal end of the blade penetrates the
11 article by a constant predetermined amount. This
12 results in a consistent and precise cut with each
13 part of the article experiencing the same exposure
14 to the cutting edge of the blade.

15
16 The attachment means for attaching the stylus to the
17 ultrasonic cutting device may form part of means for
18 mounting the cutting device on a mounting table. The
19 means for mounting the cutting device on a mounting
20 table may further comprise means such as a 3-axis
21 drive unit for positioning the ultrasonic cutting
22 device relative to the article to be cut.

23
24 The ultrasonic cutting apparatus may further
25 comprise a mounting table having a 3-axis drive unit
26 which can move linearly in three directions
27 perpendicular to one another. Preferably the
28 article to be cut is mounted on this drive unit.

29
30 According to a further aspect of the present
31 invention there is provided a method of cutting a

1 heart valve leaflet as described herein using an
2 ultrasonic vibrating blade comprising the steps of,
3 (i) mounting and fixing a heart valve leaflet
4 to be cut on a mounting table;
5 (ii) positioning the blade relative to the
6 heart valve leaflet to be cut;
7 (iii) vibrating the blade;
8 (iv) moving the heart valve leaflet to be cut
9 relative to the vibrating blade or
10 alternatively moving the vibrating blade
11 ~~the~~ relative to the heart valve leaflet to be
12 ~~the~~ cut so that the blade cuts the heart valve
13 ~~the~~ leaflet to the required shape.

14
15 The leaflet has a top and bottom, the bottom of the
16 leaflet being attached to the scalloped portion.

17
18 The top of the leaflet may extend beyond the tip of
19 the posts of the frame e.g. by up to 1500 μm from
20 the tip of the posts.

21
22 The notional free edge is defined as the free edge
23 of the leaflet prior to trimming. The notional free
24 edge may extend between the posts at a longitudinal
25 height of between 0 to 1500 μm from the tip of the
26 posts.

27
28 Preferably at least one leaflet has different
29 thicknesses along a cross section defined by the
30 intersection of a plane perpendicular to the blood
31 flow axis.

32

1 More preferably the thickness of the cross section
2 of at least one leaflet in the XY plane, defined by
3 the intersection of a plane perpendicular to the
4 blood flow axis, changes gradually and substantially
5 continuously from a thickest portion where the
6 leaflet is conjoined to the frame to a thinnest
7 portion at the midpoint of the XY plane of the
8 leaflet.

9
10 A problem associated with the design of synthetic
11 heart valves is that changing the diameter of the
12 valve or height of the posts of the frame affects
13 the calculation of leaflet geometry. In order to
14 overcome the effects of valve diameter or post
15 heights on leaflet geometry, geometric scaling is
16 typically employed.

17
18 Preferably the functions described herein can be
19 used irrespective of valve diameter or the height of
20 the posts of the frame, to obtain suitable leaflet
21 geometry and does not require the use of geometric
22 scaling.

23
24 Therefore functions disclosed by the present
25 Application which describe length in the
26 circumferential direction (XY) of a leaflet e.g. the
27 leaflet geometry optimised for a 27mm inside
28 diameter of stent can be used to describe the length
29 in the circumferential direction (XY) leaflet
30 geometry for a stent of different diameter e.g. 17mm
31 inside diameter stent.

32

1 This makes the design and manufacture of valves of
2 different diameters more convenient.

3

4 An embodiment of the present invention will now be
5 described, by way of example only with reference to
6 the accompanying drawings wherein;

7

8 Figure 1a is a plan view of a trileaflet heart
9 valve in the closed position;

10

11 Figures 1b, 1c and 1d show plan views of heart
12 valves with 3, 4 or 5 posts in which full
13 closure of the valve is achieved;

14

15 Figures 1e, 1f and 1g show plan views of 3, 4
16 and 5 posted heart valves in which the length
17 XY of the free edge of the leaflets is defined
18 by a parabolic function;

19

20 Figure 2a is a perspective view of an
21 embodiment of a trileaflet heart valve of the
22 present invention in a semi-closed position;

23

24 Figure 2b is a perspective view of a prior art
25 trileaflet heart valve in a semi-closed
26 position;

27

28 Figure 3 is a plan view of an embodiment of a
29 trileaflet heart valve of the present invention
30 in a semi-closed position;

31

1 Figure 4a is a plan view of a prior art
2 trileaflet heart valve in a fully open
3 position;

4
5 Figure 4b is a plan view of a prior art
6 trileaflet heart valve as shown in figure 4a in
7 a fully closed position;

8
9 Figure 4c is a plan view of an embodiment of a
10 trileaflet heart valve according to the present
11 invention in a fully open position;

12
13 Figure 4d is a plan view of an embodiment of a
14 trileaflet heart valve according to the present
15 invention as shown in figure 4c in a fully
16 closed position;

17
18 Figure 5a is a cross section of the valve as
19 shown in figure 2a along line 3-3;

20
21 Figure 5b is a cross section of the prior art
22 valve as shown in figure 2b along line 3-3;

23
24 Figure 5c is a cross section of a valve with a
25 sigmoidal shaped leaflet in Z;

26
27 Figure 6 is a plan view illustration of an
28 embodiment of a trileaflet heart valve of the
29 present invention;

30
31 Figure 7a shows a partial cross section of a
32 post of an embodiment of a trileaflet heart

1 valve of the present invention in the open
2 position (II) and the closed position (I) of
3 the valve;
4

5 Figure 7b shows the a partial cross section of
6 an embodiment of a leaflet of the present
7 invention along the vertical midplane in the
8 open position (II) and closed position (I) of
9 the valve;
10

11 Figure 7c shows the a partial cross section of
12 a post of a prior art valve in the open
13 position (II) and closed position (I) of the
14 valve;
15

16 Figure 7d shows the a partial cross section of
17 a leaflet of a prior art valve along the
18 vertical midplane in the open (II) and closed
19 (I) position of the valve;
20

21 Figure 8a shows the principal stress envelope
22 present in a prior art heart valve leaflet;
23

24 Figure 8b shows the strain energy release
25 present in a prior art heart valve leaflet in
26 the X axis from a closed to open position;
27

28 Figure 8c shows the strain energy release
29 present in a prior art heart valve leaflet in
30 the Y axis from a closed to open position;
31

1 Figure 8d shows the resultant strain energy
2 release present in a prior art heart valve
3 during cycling from a closed to open position;

4
5 Figure 9a shows the principal stress envelope
6 present in an embodiment of a heart valve
7 according to the present invention;

8
9 Figure 9b shows the strain energy release
10 present in an embodiment of a heart valve
11 according to the present invention in the X
12 axis from a closed to open position;

13
14 Figure 9c shows the strain energy release
15 present in an embodiment of a heart valve
16 leaflet according to the present invention in
17 the Y axis from a closed to open position;

18
19 Figure 9d shows the resultant strain energy
20 release present in an embodiment of a heart
21 valve leaflet according to the present
22 invention during cycling from a closed to open
23 position;

24
25 Figure 10 is an illustration of an embodiment
26 of one leaflet according to the present
27 invention;

28
29 Figure 11 is a diagrammatic representation of a
30 prior art leaflet moving from a semi-closed (a)
31 to successively more open position (b) and (c)

1 to a fully open position (d) illustrating the
2 formation of a bubble or buckle;

3
4 Figure 12 illustrates the shape of the leaflet
5 being defined by a first wave further to
6 determination of the circumferential length of
7 the leaflet;

8
9 Figure 13 is graph of Cardiac Output (l/min)
10 against mean Pressure Gradient (mmHg);

11
12 Figure 14a shows a sectional view of dipping
13 apparatus prior to moulding;

14
15 Figure 14b shows a sectional view of apparatus
16 post moulding;

17
18 Figure 14c shows a cross sectional view of a
19 former suitable for use in the moulding
20 apparatus of the present invention;

21
22 Figure 15 a perspective view of an ultrasonic
23 cutting device mounted on a mounting table;

24
25 Figure 16 a view of the cutting apparatus of an
26 ultrasonic cutting device;

27
28 Figure 17 a perspective view of an ultrasonic
29 cutting apparatus according without a stylus;
30 and

31

1 Figure 18 a side view of ultrasonic cutting
2 apparatus without a stylus.

3
4 As previously discussed, a number of designs have
5 been suggested for use in cardiac heart valves to
6 ensure that the heart valves have sufficient leaflet
7 material such that the valve is capable of opening
8 as wide as possible to the maximum orifice of the
9 valve, and that such opening requires as little
10 energy as possible and further that regurgitation of
11 blood through the valve is minimised.

12
13 In order to minimise the regurgitation of blood it
14 has been suggested that the free edge of the valve
15 is spherical in geometry to ensure that the free
16 leaflet edges are able to come together and seal
17 against one another.

18
19 US Patent 5,500,016 discloses a leaflet defined by
20 the equation:

21
22
$$z^2 + y^2 = 2RL (x-g) - \alpha (x-g)^2$$

23
24 to describe the geometry of the leaflets. As Z,
25 defines the shape of the leaflet in the blood flow
26 axis and as Z is defined as z^2 then a leaflet
27 defined by the above would have a spherical geometry
28 in the axis parallel to blood flow. International
29 Patent Application WO 98/32400 discloses that
30 spherical surfaces at the leaflet edges seal more
31 effectively than planar or conical surfaces.

32 International Application WO 01/41679 discloses that

1 stresses are highest in the region of the commissures
2 where loads are transmitted to the stent, but they
3 are reduced when the belly of the leaflet is as low
4 as practicable in the closed valve.

5
6 In addition, International Application WO 98/32400
7 also suggests that it is advantageous to provide a
8 spherical portion of leaflet adjacent to the base of
9 the leaflet as it confers advantages in the stress
10 distribution when the valve is closed and pressure
11 is greater downstream than upstream.

12
13 The prior art teaches that leaflets of heart valves
14 should have considerable excess material in the
15 vertical axis Z, parallel to the blood flow to
16 enable a suitable seal to be achieved at the free
17 edge of the leaflet and to reduce the stress present
18 in the leaflet during open and closing.

19
20 As shown in figure 1b, 1c and 1d, the use of a frame
21 comprising 3, 4 or 5 posts induces different angles
22 θ in the valve leaflets, to ensure a close fitting
23 tight seal of the leaflets, which minimises
24 regurgitation of blood through the valve. As the
25 number of posts increases, the smaller the angle θ
26 and the more bent the leaflets are at a particular
27 point. In cycling between the open and closed
28 position, the valve will undergo considerable
29 flexing, particularly at angle θ , the smaller the
30 angle θ , the greater the stress experienced by the
31 valve at this point and the more the likely the
32 valve is to fail due to stress.

1
2 The material properties of tissue, which has low
3 stress at low and moderate strain means tissue
4 valves are more able to cope with such flexing than
5 synthetic materials. Synthetic materials typically
6 have different stress to strain relationships than
7 tissue and higher stress is typically experienced by
8 these materials at low and moderate strains. This
9 means that flexing is more likely to cause damage to
10 leaflets constructed from synthetic material than
11 tissue material.

12
13 Previous valve designs have been largely based on
14 tissue valves and have not taken account of the
15 different material properties of tissue.
16 In contrast to previous designs and teaching
17 concerning valve construction, which was driven by
18 the supposed need to obtain a close fitting seal of
19 the leaflets, particularly at the free edge, the
20 leaflets of the present Application were designed to
21 minimise the stress experienced by the leaflet
22 during cycling between the open and closed position.

23
24 To reduce the sharp curvature, which promotes stress
25 points at specific points along the free edge, the
26 length of the free edge (XY) of the leaflet was
27 determined using a parabolic function. The
28 parabolic length of the free edge can be determined
29 by using the distances between the posts of the
30 frame where the free edge is conjoined to the posts
31 and the parabolic maximum.

32

1 As shown in figures 1e, 1f and 1g the use of a
2 parabolic shape at the free edge results in a
3 gentler curvature of the leaflets and enables the
4 length of the material along the free edge to be
5 determined from a knowledge of the frame dimensions.
6 However, this design, contrary to previous teaching,
7 does not allow a close fitting to be achieved
8 between the leaflets at all points along the free
9 edge. Surprisingly, the seal obtained between the
10 leaflets using a parabolic or like function is
11 sufficient to minimise regurgitation of blood
12 through the valve to the required degree for the
13 valve to be effective.

14
15 The determination of the length XY at the free edge
16 of the leaflet is important to ensure that closure
17 of the leaflets is achieved and to minimise the
18 excess material of the leaflets at the free edge
19 such that the free edges of the leaflets do not fold
20 over each other in the closed position.

21
22 In addition to allowing determination of the length
23 of XY at the free of the valve, the present
24 Application also allows determination of the XY
25 lengths of the leaflets at all points in Z by using
26 a parabolic function to determine the shape of the
27 leaflets at all points in Z.

28
29 As shown in figures 5a, 5b and 5c, in the closed
30 position, the leaflet can be substantially linear
31 (figure 5a), have excess material such that a belly
32 forms (figure 5b) or have reduced XY lengths of the

1 leaflet towards the base such that the leaflet forms
2 a generally sigmoidal shape (figure 5c). In both
3 figures 5b and 5c the XY lengths of the leaflet and
4 thus the leaflet shape would be determined using a
5 non-continuous function.

6
7 The belly in the valve as shown in figure 5b would
8 create increased stress in the belly region. In
9 figure 5c the reduction of material in XY towards
10 the base of the posts would promote an increase in
11 the stress concentration at the portion of the
12 leaflets towards the free edge.

13
14 By determining the lengths XY of the leaflet as a
15 parabolic function or the like at each point in Z,
16 such that the XY lengths in Z vary as a continuous
17 function, localised stress concentrations can be
18 minimised and a more uniform stress distribution
19 across the leaflet achieved.

20
21 As shown in figure 1a and figure 2a, a preferred
22 embodiment of the heart valve prosthesis 8 of the
23 present invention comprises a stent or frame 10
24 which is substantially cylindrical. The frame has a
25 first end 12 and second end 14. The first end 12
26 comprises three scalloped edge portions 16a, 16b and
27 16c separated by three posts 18, each post having a
28 tip 20. The cardiac valve further comprises three
29 leaflets 30. Each leaflet 30 has a fixed edge 32
30 joined to a respective scalloped edge 16a, 16b or
31 16c of the frame 10 and a free edge 34 which extends
32 substantially between the tips 20 of the posts 18.

1
2 The leaflets 30 are configured to be movable from an
3 open to a closed position and from a closed to open
4 position. In an aortic position (when the
5 prosthesis is positioned at the site of the aortic
6 valve), the leaflets 30 have a blood inlet side 36
7 and a blood outlet side 38 and are in the closed
8 position when fluid pressure is applied to the
9 outlet side 38 i.e. by the blood of the aortic
10 artery and in the open position when fluid pressure
11 is applied to the inlet side 36 i.e. by the blood of
12 the ventricle. The leaflets are in a neutral
13 position intermediate to the open and closed
14 position in the absence of fluid pressure being
15 applied to the leaflets.

16
17 Where the valve is being used in a mitral position,
18 between the left atrium and left ventricle of the
19 heart, the orientation of the valve is the opposite
20 to that described above such that blood flow from
21 the left atrium moves the leaflets to an open
22 position, the leaflets opening towards the left
23 ventricle to allow blood to flow into the left
24 ventricle. Back pressure from blood flow from the
25 left ventricle towards the left atrium causes the
26 mitral valve to close to minimise regurgitation.

27
28 In figure 5b which is a sectional view along line 3-
29 3 illustrating the closed position of a leaflet of a
30 valve of the prior art, a 'belly' portion 40 exists
31 in the mid portion of the leaflet. This 'belly'
32 portion between the free edge and the central

1 portion of the leaflet causes leaflets of the prior
2 art to have a double curvature, a curve in XY and a
3 curve in Z. Further, the 'belly' shape 40 causes
4 leaflets of the prior art to be almost concave in
5 shape when viewed in cross section along the
6 vertical midplane of the leaflet.

7
8 As shown in figure 5a, which is a sectional view of
9 the valve of the present invention along line 3-3 as
10 shown in figure 2a, no 'belly' is present in the
11 leaflets and in Z the leaflet in the closed position
12 is substantially linear.

13
14 The conventional design including a 'belly' portion
15 was previously favoured as it was thought to
16 maximise sealing of the valve at the free edge and
17 minimise regurgitation.

18
19 However, the double curvature, which comprises
20 curvature in XY plane and in Z plane results in
21 excess leaflet material at both the open and closed
22 position which promotes the formation of a bubble or
23 buckle 50 in the leaflet material (as shown in
24 figure 11) during movement from a closed to open
25 position.

26
27 This excess material is shown most clearly by
28 comparing figure 7d which shows a cross section of
29 the valve along the vertical midplane (line I-I of
30 figure 2b) of the leaflet 30 parallel to the blood
31 flow axis in a prior art leaflet with figure 7b
32 which shows a cross section along the vertical

1 midplane (line I-I of figure 2a) of a leaflet of the
 2 present invention. This comparison clearly shows
 3 that the leaflet 30 of the present Application does
 4 not display a belly region 40. Indeed the cross
 5 section shown in figure 7b indicates that the
 6 leaflet shape of the present invention is
 7 substantially linear in the vertical direction in
 8 both the open and closed valve positions.

9
 10 To determine the circumferential length of material
 11 in XY to remove the 'belly' 40 observed in prior art
 12 leaflets, the length in the circumferential
 13 direction (XY) of the leaflet for any position in z
 14 must be determined, which still allows suitable
 15 opening and closure of the valve.

16
 17 As shown in figure 6 the material of the leaflet
 18 must extend between the posts 18 such that in a
 19 closed position the free edge of the leaflets 34
 20 come together at point 42 to minimise regurgitation
 21 of blood through the valve.

22
 23 This circumferential length (XY) can be
 24 mathematically defined using a parabolic function.

25
 26 Function of a parabola

$$27 \quad Y_z = \left(\frac{4R}{L_z^2} \right) x \cdot (L_z - x)$$

28
 29 Wherein Y_z = Y offset at a particular co-ordinate x
 30 and Z

31 R = parabolic maximum

1 L_z = straight line distance between a
 2 first post and a second post of the frame
 3 at a height Z
 4 X = distance from origin of post towards
 5 another post

6
 7 To calculate the circumferential length (XY) at a
 8 height point of the posts for a leaflet defined in
 9 the circumferential (XY) direction by a parabolic
 10 function the following function can be used:

11

12 length of parabolic curve = $\int_0^l \sqrt{1 + \left(\frac{dy}{dx}\right)^2} dx$

13

14 This allows a circumferential length (XY) to be
 15 determined at each height point in Z.

16

17 Thus as shown in figure 10 the circumferential
 18 length (XY) can be determined at Z1, Z2, Z3 ...Zn.

19

20 The length of the leaflet in the circumferential
 21 direction (XY) is calculated and repeated in the
 22 radial direction (Z) to provide the complete
 23 geometry of the leaflet.

24

25 As the scallop edge 32 of the frame 10 as defined by
 26 the posts 18 of the frame can be determined by
 27 measuring the frame, then a leaflet 30 can be
 28 defined by determining the distance between the two
 29 posts 18 at several height points in Z (where Z is a
 30 particular height along the posts). This post to

1 post distance can then be used in the equation
2 detailed above to generate a parabola (P) at each
3 height point. In the embodiment shown, due to the
4 scallop shape 32 defined by the posts 18 the
5 circumferential length of the leaflet in XY will
6 decrease moving from the first end at the tip 20 of
7 the posts toward the second end of the frame 14 at
8 the base of the posts. The more height points which
9 are chosen, the more lengths (P) which can be
10 calculated along Z. If a large number of height
11 points are chosen the lengths determined by the
12 parabolic function moving from the tip of the posts
13 to the base will vary in a substantially linear
14 fashion.

15
16 The leaflets 30 of a valve 8 which are of
17 circumferential length (XY) as determined using the
18 above parabolic function will meet at the free edge
19 34 of the leaflet 30, but will not meet
20 significantly at points lower than the free edge 34.
21 The meeting of the leaflets at the free edge allows
22 regurgitation to be minimised without including
23 excess material or a belly region 40 in the leaflets
24 30.

25
26 The circumferential length (XY) can be further
27 adjusted to take account of factors which occur
28 during cycling of the heart valve. These factors
29 include inward movement of the posts 18 of the frame
30 10 due to pressure on the leaflets 30 during closing
31 of the valve. The amount of inward movement of the
32 posts 18 of the frame 10 is influenced by the

1 rigidity of the frame 10 and the pressure exerted on
2 the valve. The tips 20 of the posts 18 of the frame
3 10 move to a greater extent than the base of the
4 posts and as the scallop geometry between the posts
5 18 of the frame 10 is accurately known this
6 differential movement can be taken into account when
7 determining the optimal circumferential length (P)
8 of XY in the leaflet 30.

9
10 In addition to the posts 18 of the frame 10 moving
11 toward each other during closure, the posts 18 also
12 move towards the centre point 42 where the leaflets
13 meet or the point of coincidence. The
14 circumferential length XY of the leaflet can be
15 adjusted to account for this movement.

16
17 The material of the leaflet 30 typically has some
18 degree of elasticity and will stretch in response to
19 blood flow pressure. This stretching can again be
20 taken into account in determining the lengths of the
21 leaflet 30 to ensure that a belly region 40 of the
22 valve is minimised.

23
24 As shown in figure 8a, analysis of the stresses over
25 time incurred by heart valves during the cycling
26 process has revealed that the principal area of
27 stress 60 in existing cardiac valves is found close
28 to the midpoint of the free edge of the leaflets.

29
30 Using the data from figure 8a, strain energy release
31 in X and Y, as shown in figures 8b and 8c
32 respectively can be determined. Figure 8b shows

1 that leaflets of the prior art have a vertical
2 predisposition to defect propagation 62 at the free
3 edge 34. Figure 8c indicates that leaflets have a
4 predisposition to defect in the lateral dimension,
5 at an area 64 in the leaflet 30 lower than the free
6 edge of the leaflet 34, the lower area being located
7 above the central portion of the leaflet. In tests
8 during cycling of cardiac valves it has been found
9 that over time, the stress in this lower area
10 promotes failure of defects in the material to
11 occur. These defects can cause valve failure.
12

13 The present invention has shown that analysis of the
14 dynamics of existing valves during the cycling
15 process has determined that the stress in this lower
16 area is caused by the leaflets requiring to change
17 the direction of their surface curvature during
18 cycling.
19

20 In particular, as shown in figure 11, on cycling
21 from a closed to an open position a region lower
22 than the free edge forms a bubble like formation or
23 buckle 50 on the surface of the leaflet which is
24 opposite in direction to the curvature of the
25 surface of the rest of the leaflet.
26

27 On moving from the closed to open position, the
28 bubble like formation 50 is forced to become
29 inverted such that it projects in an opposite
30 direction causing a whip like action in the leaflet
31 30. This whip like action promotes high stresses in

1 the area lower than the free edge 34 of the leaflet,
2 as shown in figures 8a, 8b, 8c and 8d.

3
4 The inventor has surprisingly determined, as shown
5 in figure 9a, that the principal stress envelope in
6 relation to the valve as described in the present
7 application, wherein the circumferential length XY
8 of the leaflet at any point in Z is defined as a
9 parabolic function, is decreased across the whole of
10 the valve. In particular strain energy release in X
11 and Y, as shown in figures 9b and 9c respectively,
12 in relation to the valve of the present invention
13 indicates that a leaflet wherein the circumferential
14 lengths XY are determined by a parabolic function
15 has minimised predisposition to defect propagation
16 in the lateral dimension at an area in the leaflet
17 lower than the free edge of the leaflet and above
18 the central portion.

19
20 A reduction in the predisposition to defect
21 propagation in the lateral dimension at an area in
22 the leaflet between the free edge of the leaflet and
23 the central portion in the leaflet of the present
24 invention is observed because there is less excess
25 material and thus minimal belly in the leaflet of
26 the present design.

27
28 On moving from the closed to open position a bubble
29 like formation 50 is no longer created and thus a
30 whip like action does not occur in the leaflet. As
31 discussed, it is this whip like action which has
32 been determined to promote high stresses in the area

1 lower than the free edge of the leaflet. As
2 illustrated by comparing figures 8a and 9a, in
3 contrast to the valves of the prior art, uniform
4 principle stress distribution, is observed across
5 the surface of the leaflet of the valve described in
6 the present Application.

7
8 Minimisation of the regions of stress in the
9 leaflet, during cycling of the leaflet, will
10 increase the durability of the leaflet.

11
12 Use of a parabolic function to determine the
13 circumferential lengths XY of the leaflet at each
14 height point in Z causes the vertical distribution
15 of lengths of the leaflet to be substantially linear
16 at the fully open and closed position.

17
18 It will be appreciated by those in the art that
19 other functions with the addition of suitable
20 modifying factors could be used to derive a function
21 which substantially describes a parabola and which
22 leads to the vertical distribution of lengths of the
23 leaflet to be substantially linear at the fully open
24 and closed position, but which is based on for
25 instance an elliptical function.

26
27 As discussed, additional parameters may be included
28 in the parabolic function used to determine the
29 circumferential lengths XY of the leaflet. These
30 additional factors may account for movement in the
31 posts of the stent, elasticity of the leaflet
32 material during movement of the leaflets from a

1 closed to an open position or other factors which
2 occur during cycling which influence the length of
3 the leaflet require to allow closure.

4
5 The function described above explicitly determines
6 lateral lengths of the parabolic curve at any height
7 point in Z which is along a post of the frame. In
8 view of this the above function can be applied to
9 any diameter of valve or valves with different
10 heights of posts, without the need for geometric
11 scaling. This means that different dimensions of
12 valves can be manufactured with the same leaflet
13 geometry without further undue experimentation.

14
15 The surface contour of the leaflets 30 of the
16 embodiment described are such that in a fully open
17 position, the intersection of the leaflets of the
18 valve perpendicular to the blood flow axis, forms a
19 substantially cylindrical shape.

20
21 In addition to the above, it has also been
22 determined that stress at the free edge of the
23 leaflet, as shown in figure 8a, can be further
24 reduced by trimming the free edge 34 of the leaflet
25 in the longitudinal direction (Z) such that the free
26 edge is substantially parabolic 70, with the maximum
27 depth of the parabola being furthest from the
28 notional untrimmed free edge 74. The maximum depth
29 of the parabola is generally located at the midpoint
30 of the free edge 72 (figure 9a). Figure 9a shows
31 the effect of introducing a parabolic curve in the
32 vertical direction of the free edge. Comparison of

1 figures 8b, 8c and 8d with 9b, 9c and 9d shows that
2 the strain energy release at the free edge is
3 significantly reduced through the introduction of
4 the parabola in the longitudinal direction (Z).
5

6 Ideally the notional free edge 74 is trimmed in a
7 parabolic curve, wherein the maximum depth 72 of the
8 parabola 70 is between 50 μ m to 1000 μ m lower than the
9 notional ~~un~~trimmed free edge 74.
10

11 A different shape of cut, trim or notch can be
12 introduced in the free edge to decrease the stress
13 at the free edge. However, particular shapes of
14 cuts, trims or notches may introduce defects into
15 the leaflet which would decrease the leaflets
16 durability to stress. A parabolic trim as described
17 is therefore advantageous in that focal points of
18 stress ~~are~~ not introduced to the free edge of the
19 leaflet. Cuts, trims and notches which do not
20 create bending stresses at localised points on the
21 free edge are preferable.
22

23 In one embodiment a parabolic cut may be made using
24 an ultrasonic cutting device. As shown in figure 1
25 in one embodiment the ultrasonic cutting device
26 comprises an ultrasonic transducer (100); a blade
27 (110); and attachment means (120) to enable
28 detachable attachment of the cutting blade to the
29 transducer. The blade has two arcuate cutting edges
30 which meet at a point to form the terminal end of
31 the blade. In this embodiment the stylus is not

1 present. The ultrasonic cutting device is mounted on
2 the mounting table (130) by means of a clamping
3 assembly (140). The clamping assembly includes an
4 upright member (150) that extends from a first end
5 perpendicularly from the mounting table, a support
6 member (160) that extends laterally from the upright
7 member and is held relative to the upright member by
8 a fixing block (170), and a clamp (180) which
9 secures the ultrasonic cutting device to the clamp
10 support member. The clamp support member is
11 slideably moveable up and down a portion of the
12 upright member by turning of an adjusting screw
13 (190). In addition, the clamp support member is
14 slideably moveable laterally in relation to the
15 upright member, this movement being effected by the
16 rotation of a second adjusting screw (200). The
17 clamp support member is located between the fixing
18 block and a securing plate (210). The securing
19 plate can be moved towards the upright member to
20 secure the clamp support member at a suitable
21 position.

22 As shown in figure 16 an arm (220) can extend from
23 the clamp (180) to the cutting blade. A ball
24 bearing (222) is rotatably mounted at one end of the
25 arm and is positioned just above, but not in contact
26 with, the blade. In use the ball bearing is in
27 contact with the surface of the article to be cut
28 and its position controls the extent of blade
29 penetration into the article.

30 Figure 17 shows a perspective view of the cutting
31 apparatus in position for operation without the

1 stylus guide. The heart valve leaflet to be cut is
2 mounted on a 3-axis drive unit (230). This drive
3 unit may be driven by electric motors. Figure 18 is
4 a side view of the embodiment shown in figure 17.
5

6 In the embodiment of Figures 17 and 18, movement of
7 the drive means causes the heart valve leaflet to be
8 cut to be brought into contact with the blade. By
9 accurate positioning of the heart valve leaflet to
10 be cut, the cutting process may be accurately
11 repeated. A set pattern can then be followed and may
12 be instructed by a computer which drives the drive
13 means.
14

15 Leaflets of the geometry described herein can be
16 produced using methods known in the art such as
17 injection moulding, reaction injection moulding,
18 compression moulding or dip moulding.
19

20 In one embodiment the heart valve leaflets may be
21 made by dip moulding. As shown in figure 14a the
22 dipping apparatus may comprise a platform (1000)
23 holding a former (1110). A housing (1130) is sealed
24 to the platform to form a closed chamber (1140).
25 The housing comprises side walls (1150) and a
26 ceiling (1160) and is provided with inlet means
27 (1170) which can be closed by valve (1180).
28

29 The platform is adapted to hold at least one former.
30 Preferably the platform is adapted to hold one
31 former. By hold means the former is secured to the
32 platform so that it will remain in place even upon

1 inversion or rotation of the platform. Preferably
2 the former is releasably held on the platform.

3
4 The former has a shape so that when coated with the
5 moulding solution it will produce an article of the
6 desired size and shape. The former may comprise a
7 core holding a frame which when coated with the
8 moulding solution will produce a leaflet of the
9 desired size and shape.

10
11 In a preferred embodiment, the former (1110) is of
12 two-part form, as is shown in Figure 14C. The
13 former comprises a frame mount (1112) fixed to a
14 base portion (1114). A frame 8, for a heart valve
15 prosthesis, can be mounted on the frame mount 1112.
16 The frame mount is fixed to the base by fixing means
17 for example a screw (1116) or any suitable fixing
18 means such as a bayonet fitting or push fit fitting.
19 The frame mount is removable from the base portion.

20
21 A frame mount and base portion, (two part former)
22 may be used during leaflet construction, the frame
23 mount being suitably shaped to a frame to be mounted
24 on the frame mount and allow the production of the
25 leaflets by dip moulding. The frame mount can also
26 be used to hold the frame and leaflets during
27 subsequent cutting of the valve leaflets. The frame
28 mount is releasably attachable to the base former
29 portion such that the frame mount portion can be
30 removed from the base portion so that the base
31 portion may be reused. The frame mount portion may
32 be releasably attachable to the base portion by a

1 screw. Should the frame mount be damaged during the
2 cutting stage the frame mount can be discarded while
3 retaining the base portion and thus only a part and
4 not the entire former need be replaced. In
5 addition, different types of former mounts capable
6 of mounting frames of different diameters or with
7 different valve leaflet shapes can be fixed to the
8 same base portion thus reducing the need for
9 complete formers.

10

11 The housing (1140) has an open end (1142) so that
12 when placed on the platform (1000) the former can
13 extend into the housing.

14

15 The housing is of a shape and size so that it fits
16 over the former (1110) and has the capacity to hold
17 enough moulding solution to coat the former. The
18 housing has a ceiling (1160) which is the part of
19 the housing opposite to the platform. The housing
20 may have any suitable shape, for example it may be a
21 cylinder having one closed and one open end, with
22 its closed end being the ceiling.

23

24 Typically the platform and the housing are
25 constructed from steel.

26

27 The apparatus is provided with means for inverting
28 the closed chamber. The inverted and open chamber
29 is shown in figure 14b. Inversion of the housing
30 may be provided by means for rotating the platform
31 about a horizontal axis. In one embodiment, the
32 platform is rotatable about a horizontal axis

1 through the horizontal plane of the platform. This
2 may be achieved by having the platform pivotally
3 supported on a frame. The frame may comprise
4 lateral pins which extend laterally from the frame
5 into the platform so that the platform can rotate
6 around them. In an alternative embodiment, the
7 housing is rotatable about a horizontal axis in the
8 horizontal plane of the open end of the housing.
9 This may be achieved by having the housing pivotally
10 supported on a frame. The frame may comprise
11 lateral pins which extend laterally from the frame
12 into the housing so that the housing can rotate
13 around them.

14
15 Preferably inversion of the closed chamber is
16 effected by drive means including a hand crank and
17 an electric motor.

18
19 The closed chamber has closeable inlet means for
20 introducing the moulding solution to the closed
21 chamber. The inlet means may be closeable by means
22 of a valve. The inlet means are preferably an
23 opening in the ceiling of the housing and are
24 provided with a pipe in connection with a central
25 reservoir of moulding solution. In one embodiment
26 the platform is provided with the inlet means. The
27 inlet means may alternatively be provided in one of
28 the side walls of the housing so that it will be in
29 a position close to the platform in the closed
30 chamber. In this embodiment the moulding solution
31 may be pumped from a reservoir into the closed
32 chamber via the inlet means. This latter embodiment

1 is preferred when more viscous moulding materials
2 are being used.

3

4 Preferably the inlet means and/or the outlet means
5 are heated. The moulding solutions generally used
6 in the moulding of surgical implants are generally
7 viscous in nature and this viscous nature can make
8 the movement of the moulding solutions through the
9 inlet and outlet means difficult to achieve.

10 Heating means can be incorporated in the moulding
11 apparatus and used to heat both the housing and the
12 inlet and outlet means. The raised temperatures of
13 the moulding solutions make these solutions less
14 viscous allowing easier movement of the solutions
15 through inlet and outlet tubes.

16

17 The housing has closeable outlet means. Preferably
18 an opening/pipe in the ceiling of the housing forms
19 the outlet means. When the housing is inverted then
20 the moulding solution can be drained through such an
21 opening/pipe under the force of gravity. The outlet
22 means may be closeable by means of a valve.

23

24 Preferably, as in the embodiment shown in Figures
25 14a and 14b, the outlet means is also the inlet
26 means.

27

28 In operation, a former is releasably secured to the
29 platform and a housing is placed over the former and
30 sealed to the platform. The closed chamber thus
31 formed should be in a position whereby the former is
32 upright. Moulding solution is introduced into the

1 chamber through the inlet means until it reaches a
2 level above the former, e.g. level (1152) indicated
3 in Figure 14a. At this stage the inlet means is
4 closed by means of valve (1180). After a suitable
5 period of time, the platform, and thus the closed
6 chamber, is inverted by rotating, in this case, the
7 platform around a horizontal axis. The inverted
8 chamber is then left for a suitable period of time
9 before the housing/platform seal is broken and the
10 housing is lowered. This exposes the now-coated
11 former in an inverted position. This can be seen in
12 Figure 14b. The moulding solution can then be
13 drained from the housing using the inlet means
14 (1170) which doubles as outlet means in this
15 embodiment. Alternatively the moulding solution can
16 be drained from the housing before the
17 housing/platform seal is broken. The coating on the
18 former can now be dried/cured/treated appropriately.

19
20 As the closed chamber is a sealed system it is
21 possible to exchange the air present in the interior
22 of the closed chamber, when moulding solution is not
23 present, with another solution or gas. The type of
24 solution or gas with which the mould chamber can be
25 filled prior to introduction of moulding solution
26 can be chosen in line with manufacturing
27 requirements. In this way, contact between the
28 mould solution and moisture in the air can be
29 avoided.

30

31 In one embodiment the apparatus comprises a
32 plurality of platforms and a plurality of housings.

1 In this embodiment, preferably all the inlet means
2 are in connection with a central reservoir of
3 moulding solution, with the inlet means and the
4 reservoir forming a manifold. Preferably the
5 manifold is heated. In this embodiment, preferably
6 all the platforms are pivotally supported as a unit
7 on a frame or all the housings are pivotally
8 supported as a unit on a frame. Batch moulding
9 carries the advantages of having greater consistency
10 of results and of being more cost effective.
11

12 The above dipping procedure and apparatus can be
13 used, but is not limited to the production of heart
14 valve leaflets which can be produced for dip
15 moulding.
16

17 As discussed the circumferential length XY of the
18 leaflet at any height point in Z along the post of
19 the frame is explicitly provided by a parabolic
20 function or a pseudo function used to describe a
21 parabolic function. As is clear from figures 1e, 1f
22 and 1g, the manufacture of valve leaflets in the
23 closed position, as described herein, by dip
24 moulding or injection techniques would be difficult
25 as the free edges of the leaflets contact each
26 other. Although a former could be provided in which
27 the valve leaflets were produced in the open
28 position, it is more desirable to form the leaflet
29 in a neutral position between the two extremes of
30 fully open or fully closed.
31

1 One method of forming the leaflets is to determine
2 the length of the leaflet in the XY direction for
3 each point in Z for a preferred shape of leaflet.

4
5 On determining the length of the leaflet at each
6 point in Z to minimise the formation of a belly in
7 the leaflet and using appropriate correction factors
8 to determine a final XY length at that point in Z, a
9 wave function can be applied to the leaflet at that
10 point in Z. As shown in figure 12 the wave function
11 will change the shape of the leaflet at that point
12 in Z from a parabolic curve to a desired cast shape,
13 but the length of the leaflet as determined by the
14 initial-parabolic shape will be maintained and
15 following manufacture of the valve, closure of the
16 valve, will cause the leaflet to adopt a parabolic
17 shape again at each point in Z.

18
19 The wave shape of the leaflet is used to provide a
20 former element with leaflet forming surfaces of the
21 shape as defined by the waves arranged in Z for
22 casting of leaflets.

23
24 The valve is thus produced such that in a cast
25 position the leaflet is in neutral position,
26 intermediate the open and closed position in the
27 absence of fluid pressure being applied to the
28 leaflets. Production of the valve in the neutral
29 position means that the leaflets are substantially
30 free of bending stresses in this position.

31

1 The shape of the former, on which the leaflet is
2 formed, can be defined by one wave function, or
3 several wave functions which together form a
4 composite wave.

5
6 Regardless of the wave function used for the casting
7 of the leaflet, the length of the leaflet is defined
8 at each point in Z along the post of the scallop by
9 a parabolic function or pseudo parabolic function as
10 described above together with any correction
11 factors.

12
13 The shape of the inner surface of the leaflets will
14 substantially replicate the shape of the former.
15 The shape of the outer surface of the leaflets will
16 be similar to the shape of the inner surface, but
17 variations will result e.g. from the properties of
18 the polymer solution and techniques used to create
19 the leaflet.

20
21 The leaflets of suitable length as defined by the
22 parabolic function and any correction factors and of
23 shape as defined by either a single or composite
24 wave function are attached to a suitable frame. The
25 construction of a suitable frame will be obvious to
26 those skilled in the art. The frame can be made of
27 a biocompatible polymer, metal or composite. The
28 frame can be coated with polyurethane to allow
29 integration of the leaflets.

30
31 Further to describing a first leaflet using the
32 above function, the remaining two leaflets of this

1 three leaflet embodiment can be determined by
2 rotating the geometry about the Z axis through 120°
3 and then through 240° .

4
5 Having formed the leaflets of the valve as described
6 above these can then be trimmed such that the edge
7 of the leaflet not attached to the frame extends
8 horizontally between two posts horizontally between
9 a longitudinal length 0 to $1500\mu\text{m}$ beyond the tips of
10 the posts of the frame. The edge of the leaflets
11 which extends horizontally between the tips of the
12 posts ~~or~~ between a longitudinal length 0 to $1500\mu\text{m}$
13 beyond the tip of the posts is deemed to be the
14 notional free edge of the leaflets.

15
16 The notional free edge of the leaflet can be further
17 trimmed in the longitudinal direction such that a
18 parabolic curve is introduced, the maximum depth of
19 the parabola being located between $50\mu\text{m}$ to $1000\mu\text{m}$
20 opposite the notional untrimmed free edge toward the
21 portion of the leaflet which attaches the leaflet to
22 the scallop portion of the frame.

23
24 As shown in figure 13, surprisingly, in addition to
25 reducing the lateral stress of the valve,
26 determination of the length of the leaflet at each
27 point in Z according to a parabolic function not
28 only minimises the formation of a belly in the
29 leaflet, but also reduces the pressure gradient
30 required to open the valve from a closed position.

31

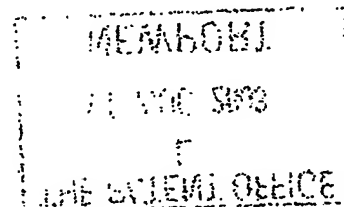
1 The opening of a cardiac valve to as wide an orifice
2 as possible under minimal pressure gradients is a
3 key parameter in the design of synthetic heart
4 valves.

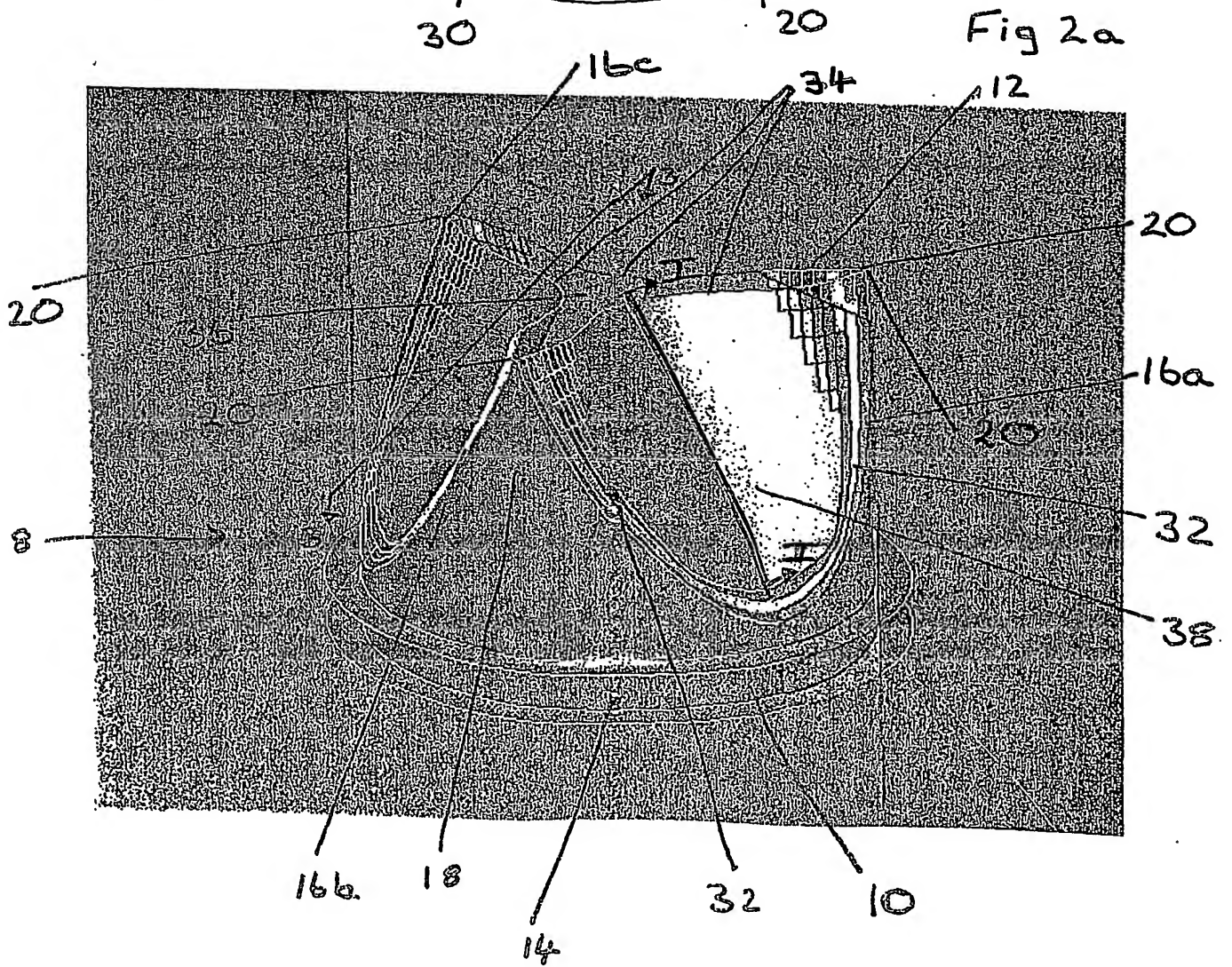
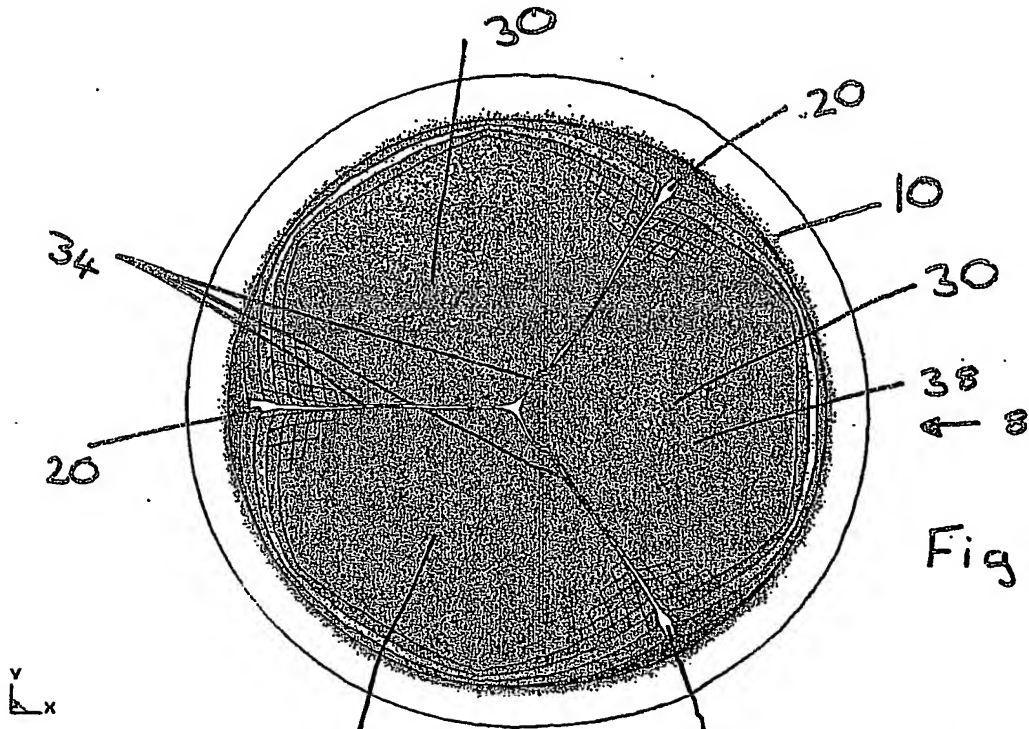
5

6 A valve of the present invention may be used in any
7 required position within the heart to control blood
8 flow in one direction, or to control flow within any
9 type of cardiac assist device.

10

11 Modifications and improvements can be incorporated
12 without departing from the scope of the invention.





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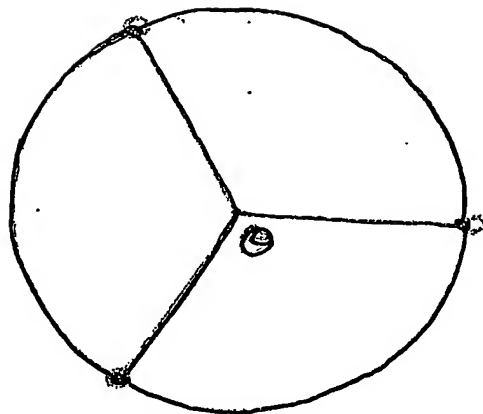


Fig 1 B

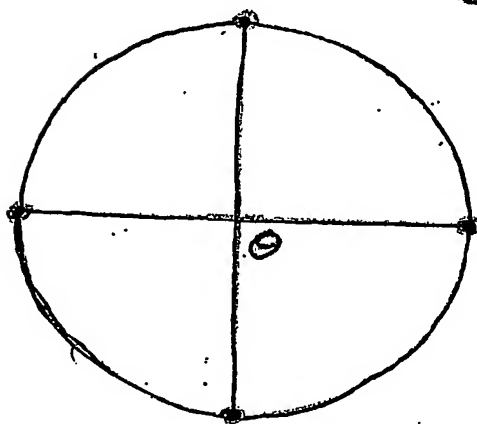


Fig 1 C

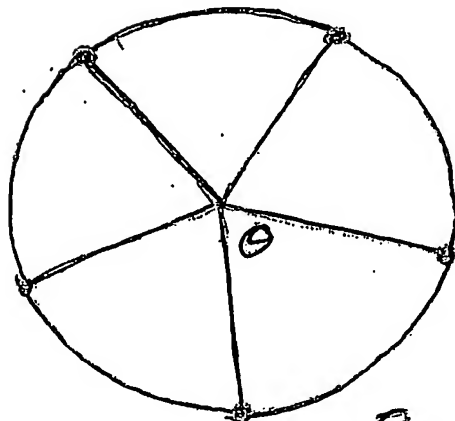


Fig 1 D

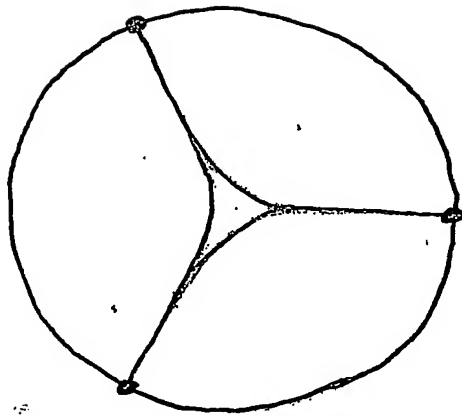


Fig 1 E

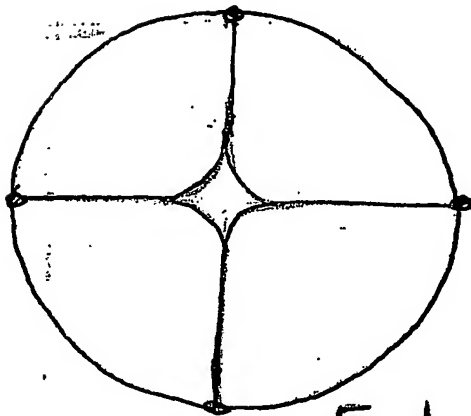


Fig 1 F

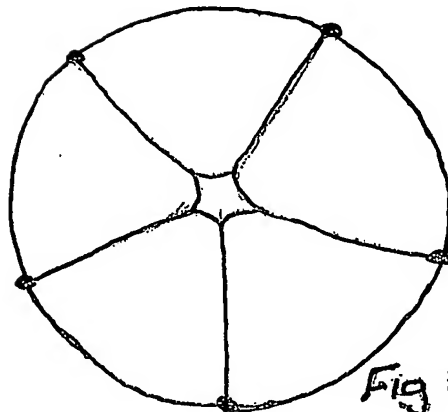
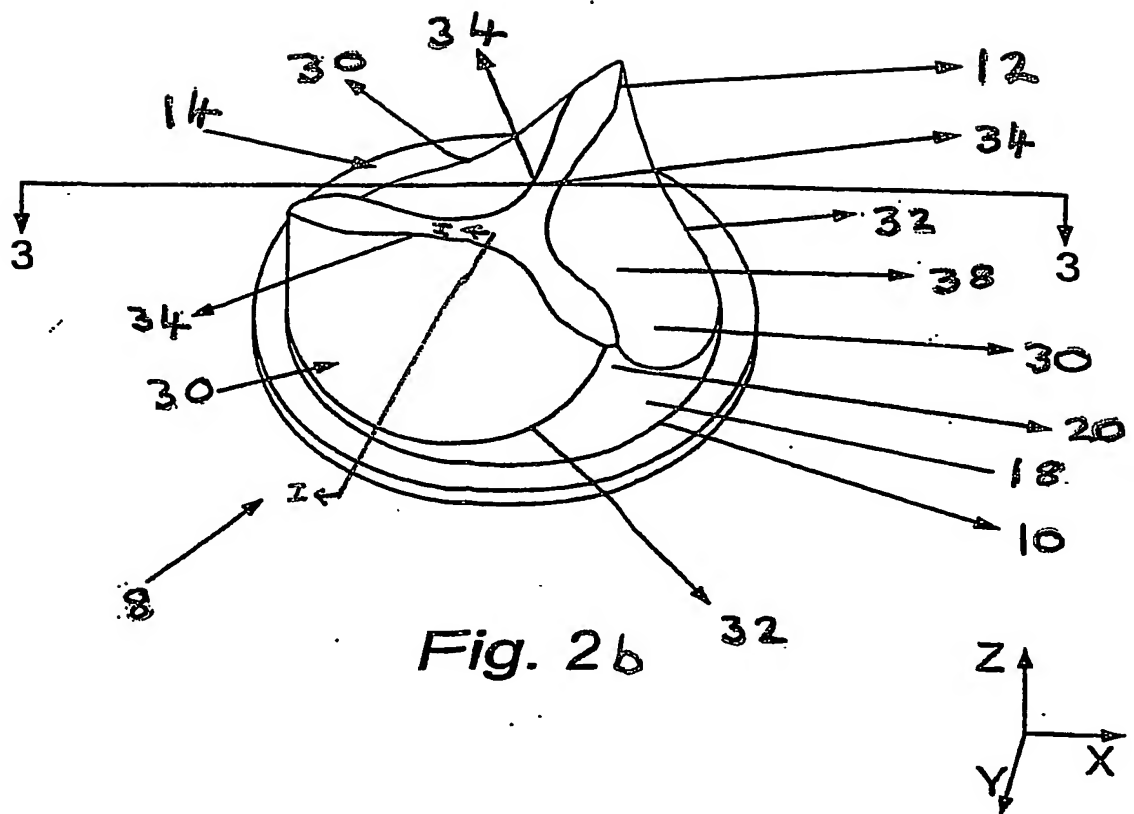
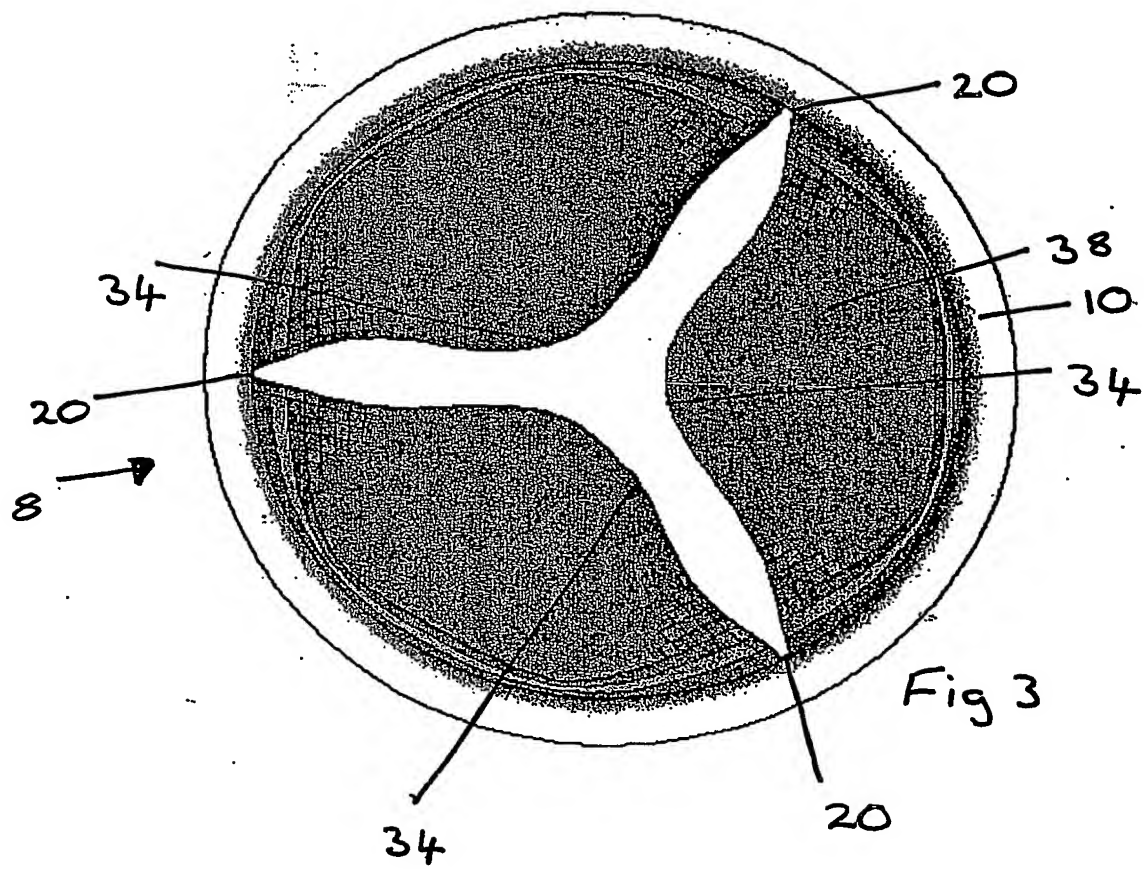


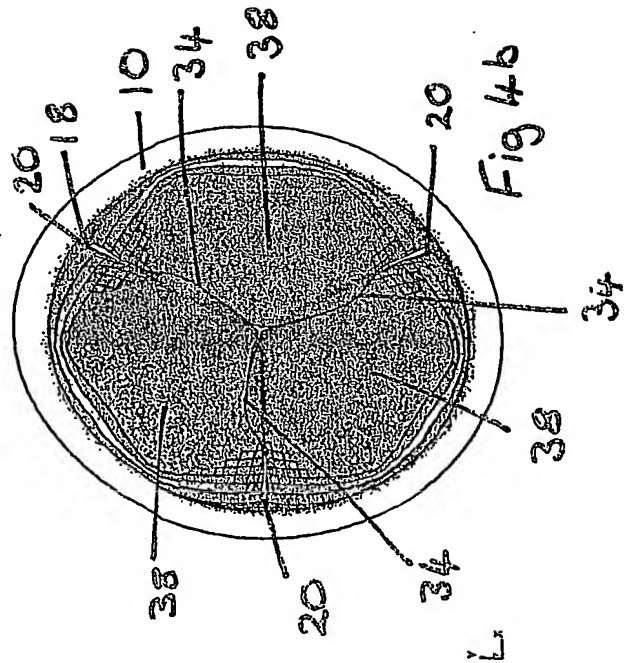
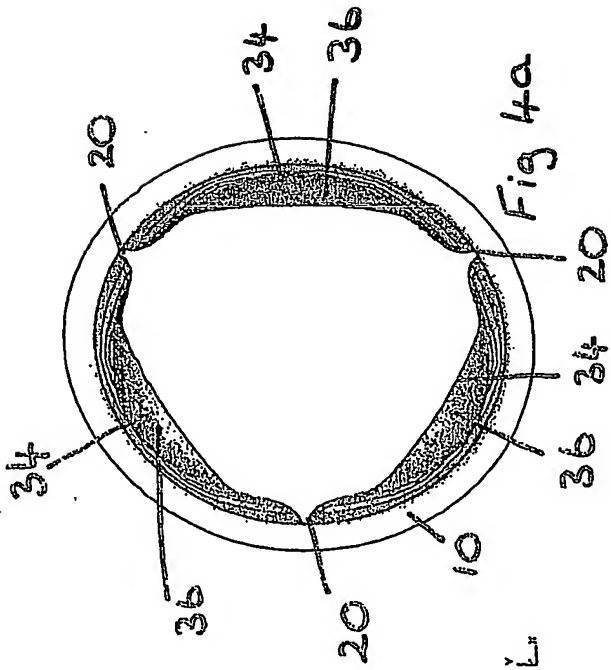
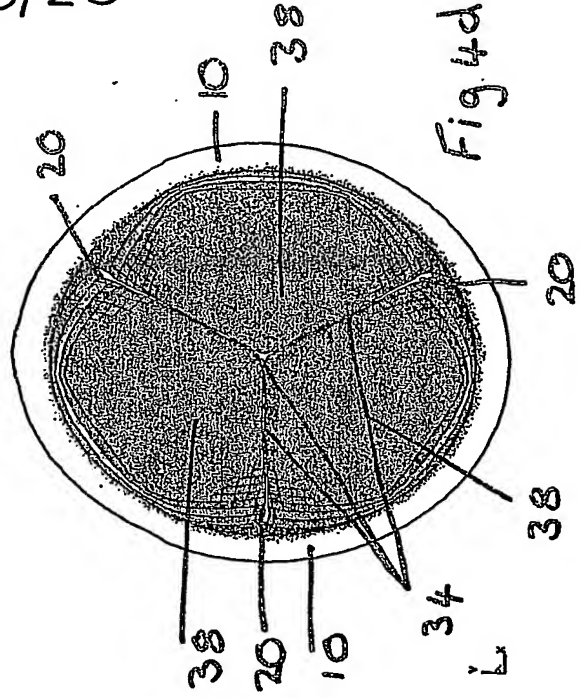
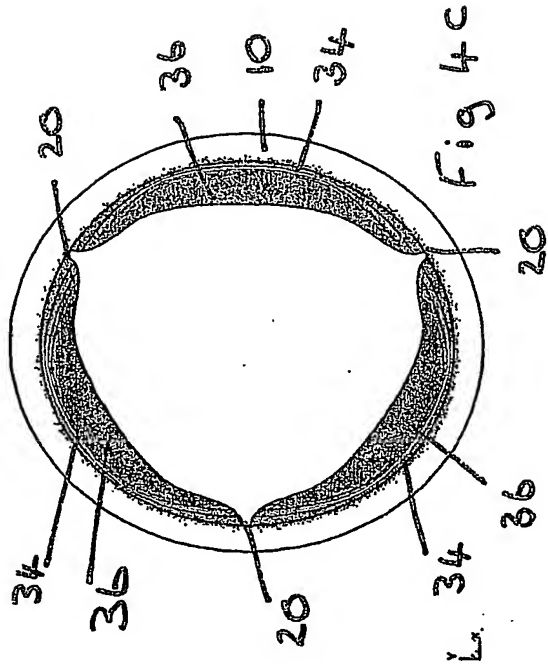
Fig 1 G.

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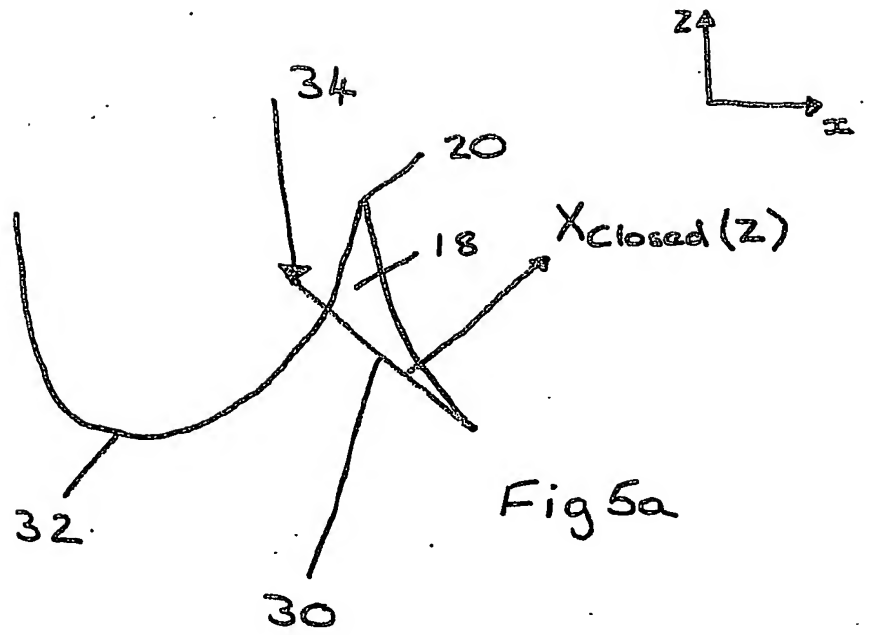




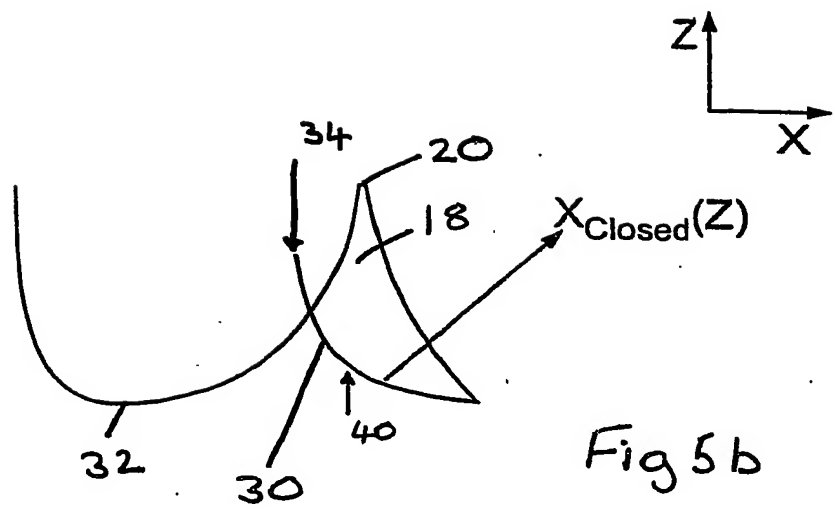
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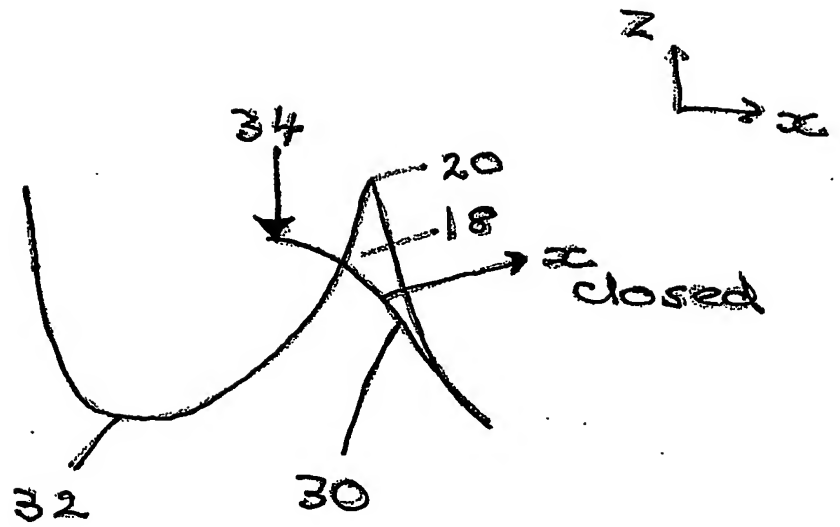


Fig 5c

10/23

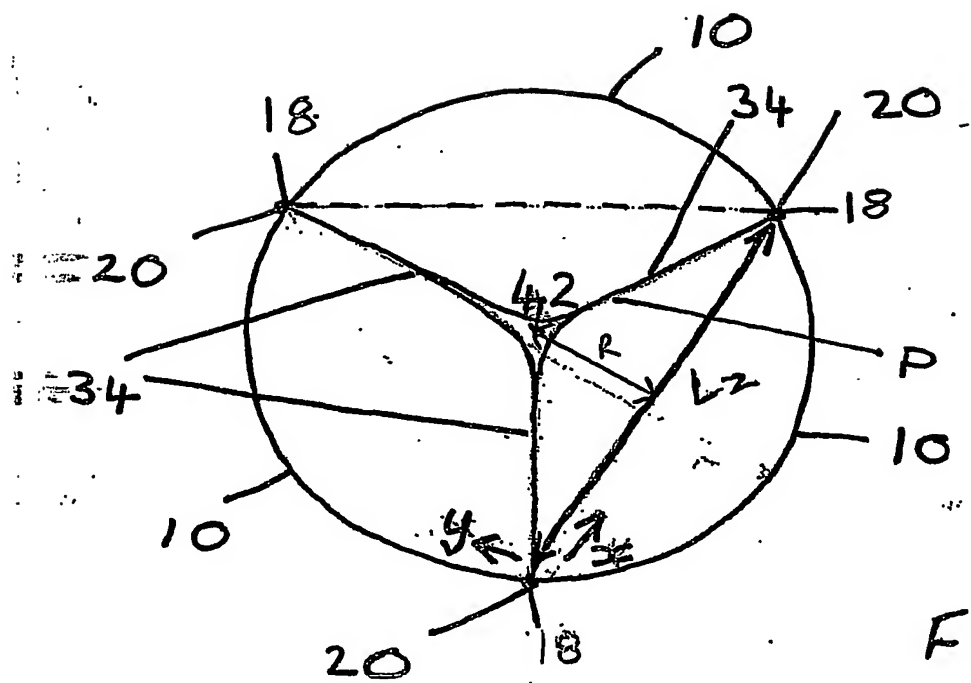


Fig 6

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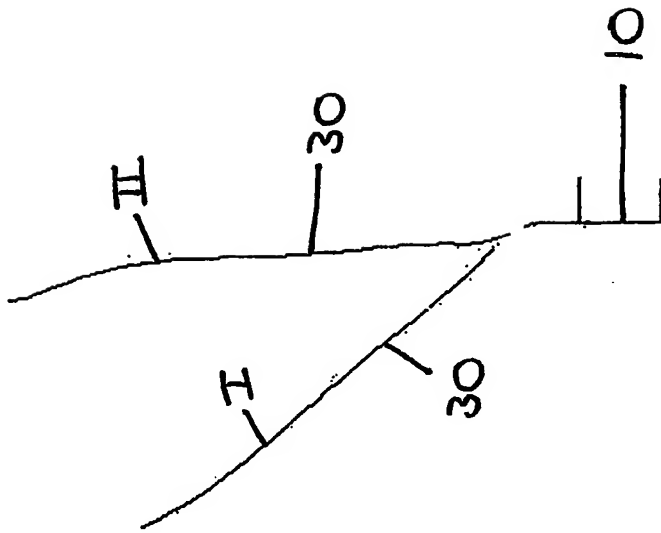


Fig 7b

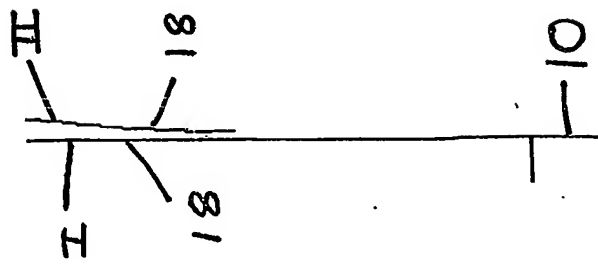


Fig 7a

2 Lx

12/23

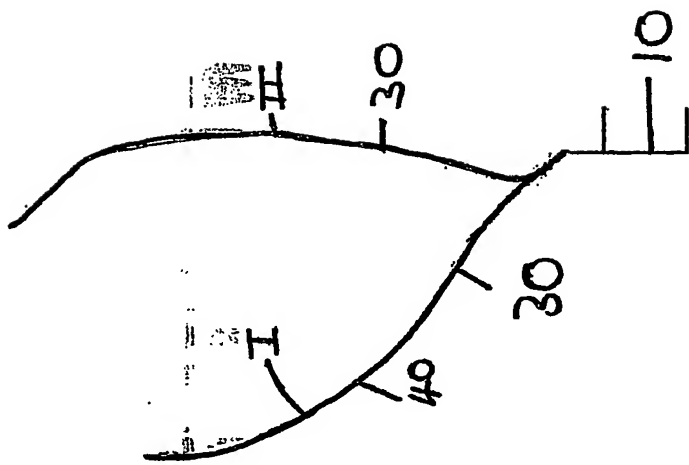


Fig 7d

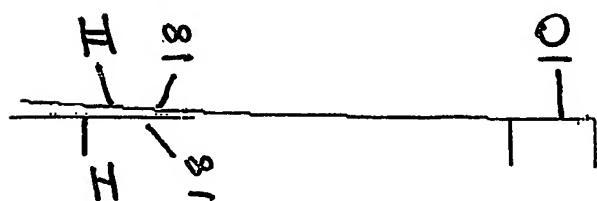


Fig 7c

z

Range Levels
2.200e+02
1.820e+02
1.570e+02
1.370e+02
1.170e+02
9.700e+01
7.700e+01
5.700e+01
3.700e+01
1.700e+01
0.000e+00
-1.700e+01
-3.700e+01
-5.700e+01
-7.700e+01
-9.700e+01
-1.170e+02
-1.370e+02
-1.570e+02
-1.820e+02
-2.200e+02

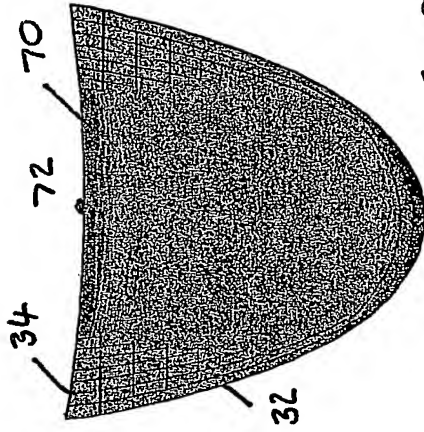


Fig 9a

L_y

Range Levels
2.200e+02
1.820e+02
1.570e+02
1.370e+02
1.170e+02
9.700e+01
7.700e+01
5.700e+01
3.700e+01
1.700e+01
0.000e+00
-1.700e+01
-3.700e+01
-5.700e+01
-7.700e+01
-9.700e+01
-1.170e+02
-1.370e+02
-1.570e+02
-1.820e+02
-2.200e+02

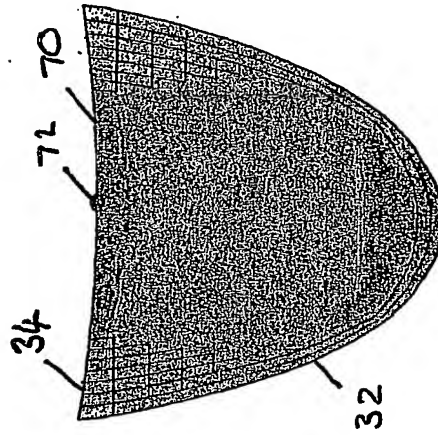


Fig 9b

L_y

Range Levels
2.200e+02
1.820e+02
1.570e+02
1.370e+02
1.170e+02
9.700e+01
7.700e+01
5.700e+01
3.700e+01
1.700e+01
0.000e+00
-1.700e+01
-3.700e+01
-5.700e+01
-7.700e+01
-9.700e+01
-1.170e+02
-1.370e+02
-1.570e+02
-1.820e+02
-2.200e+02

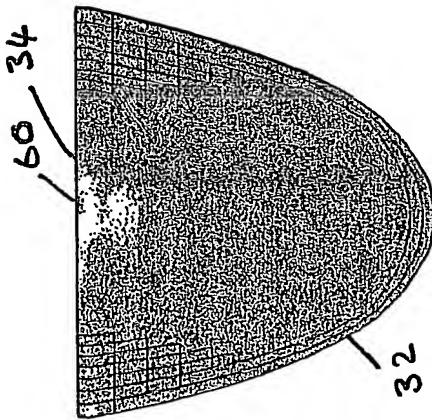


Fig 8a

L_y

Range Levels
2.200e+02
1.820e+02
1.570e+02
1.370e+02
1.170e+02
9.700e+01
7.700e+01
5.700e+01
3.700e+01
1.700e+01
0.000e+00
-1.700e+01
-3.700e+01
-5.700e+01
-7.700e+01
-9.700e+01
-1.170e+02
-1.370e+02
-1.570e+02
-1.820e+02
-2.200e+02

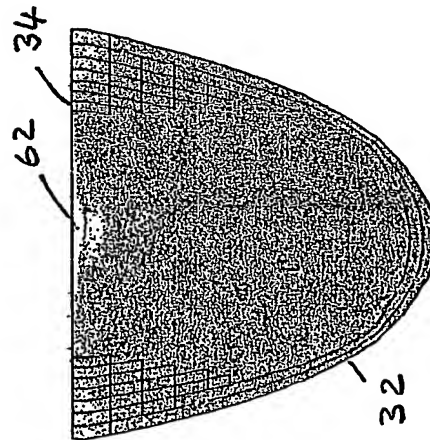


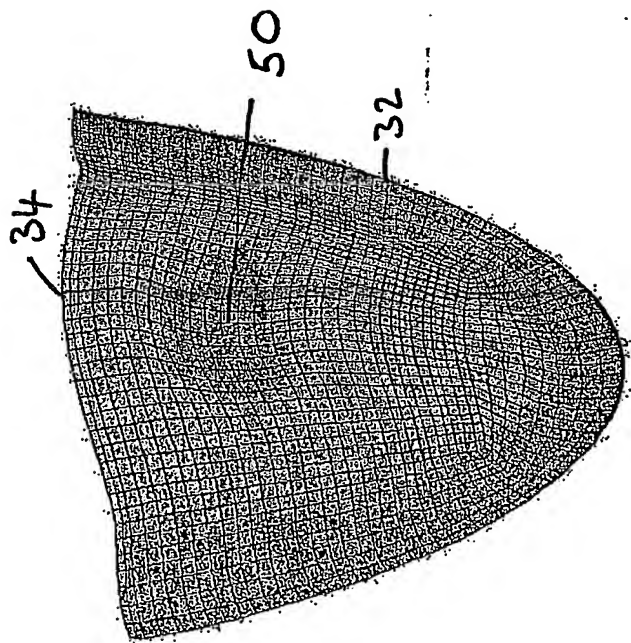
Fig 8b

L_y

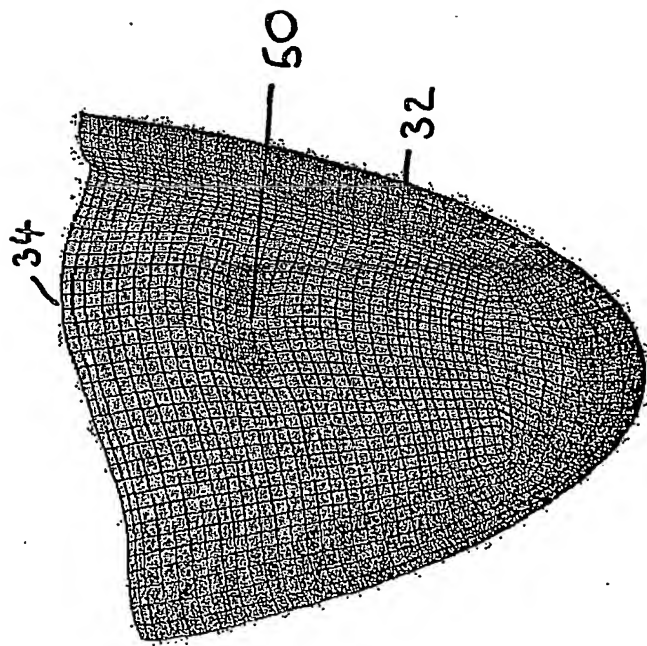
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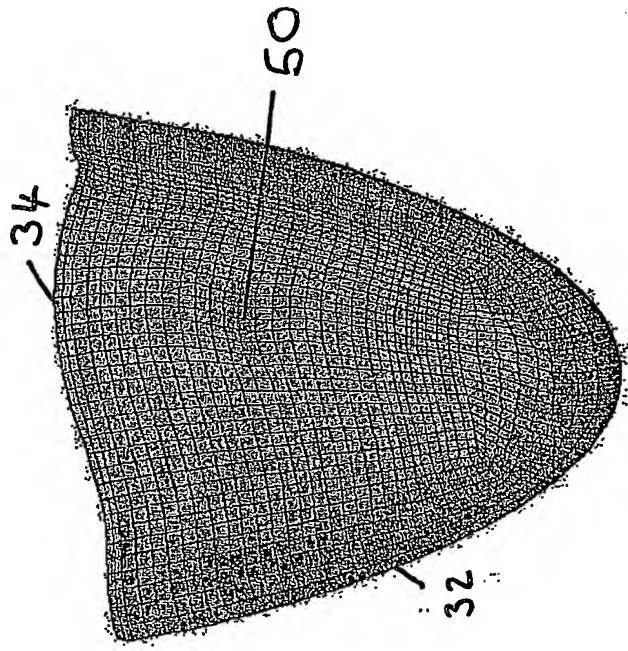
Fig 10



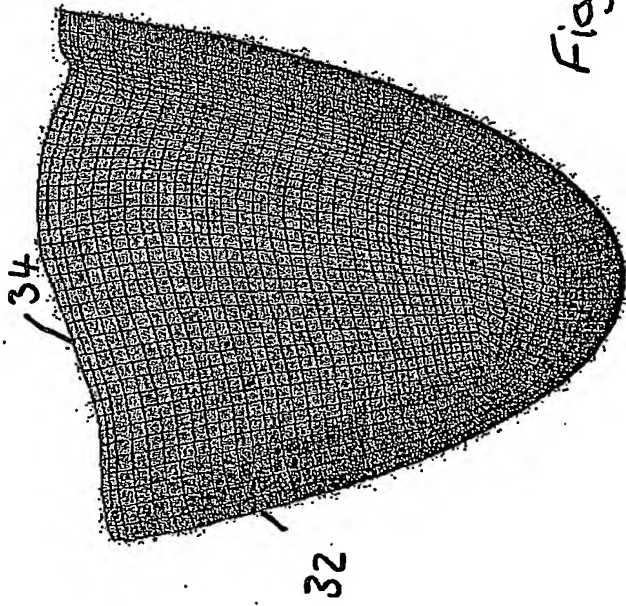
(a)



(b)



(c)



(d)

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Fig 11

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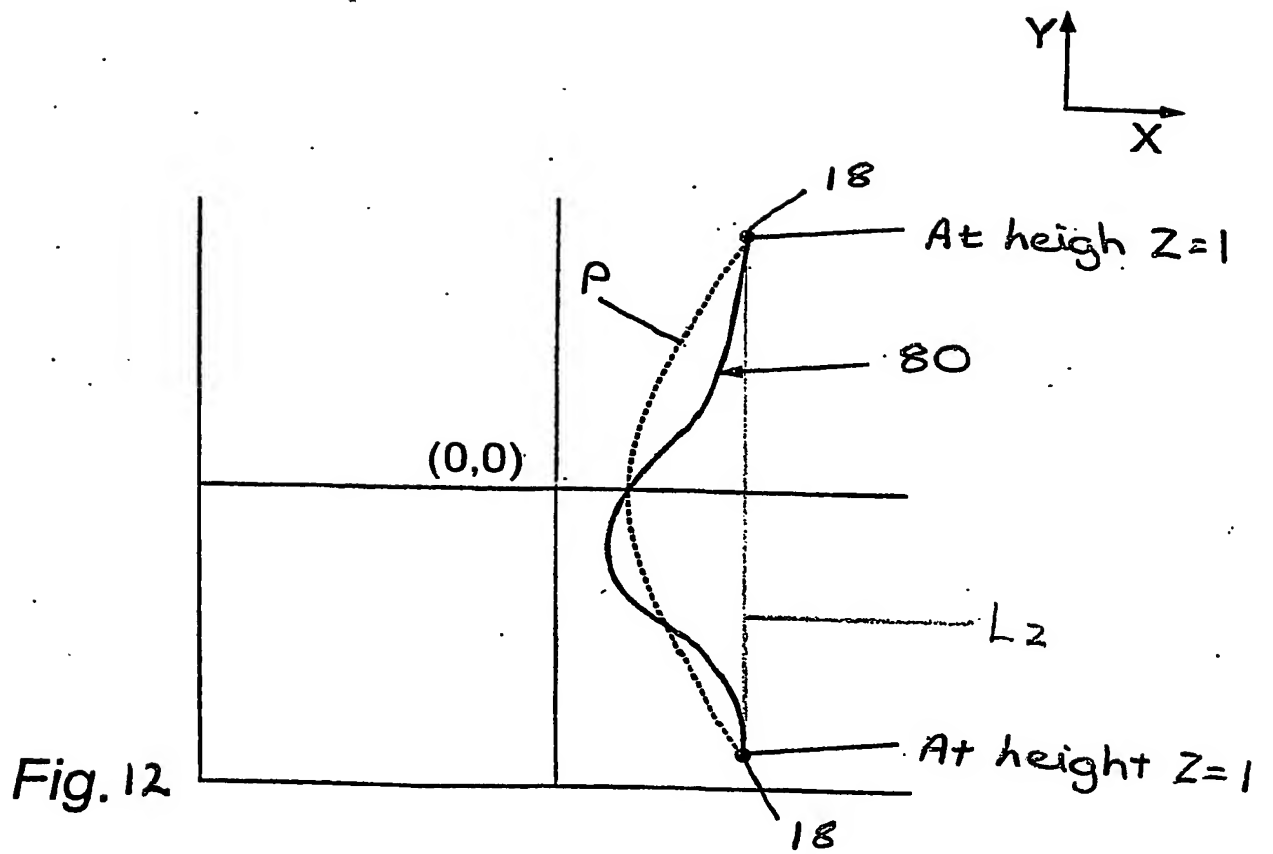


Fig. 12

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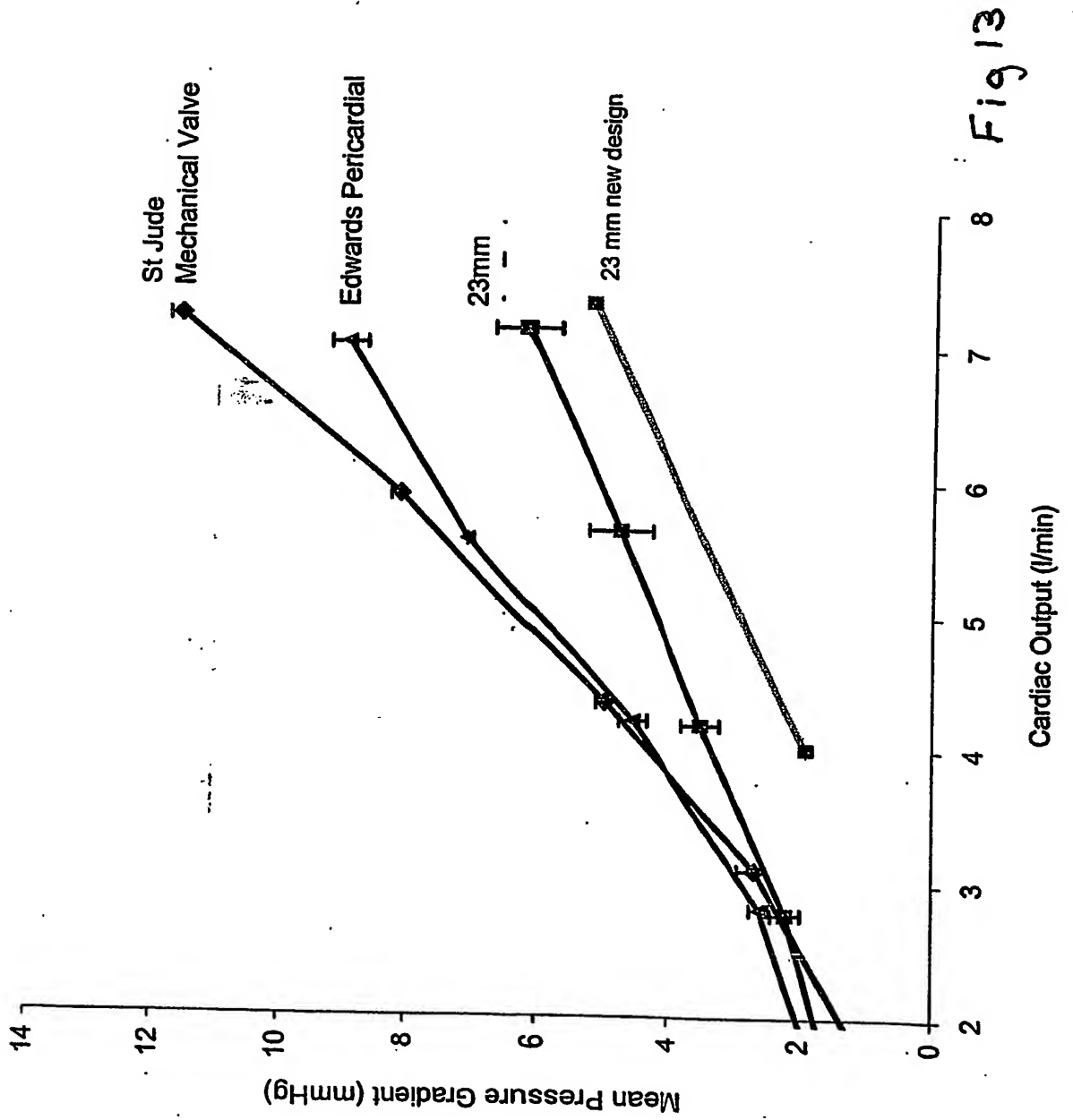


Fig 13

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Figure 14a

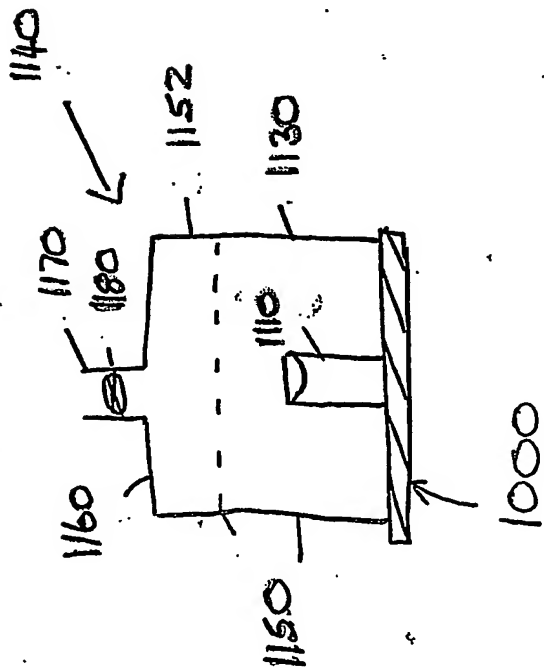


Figure 14b

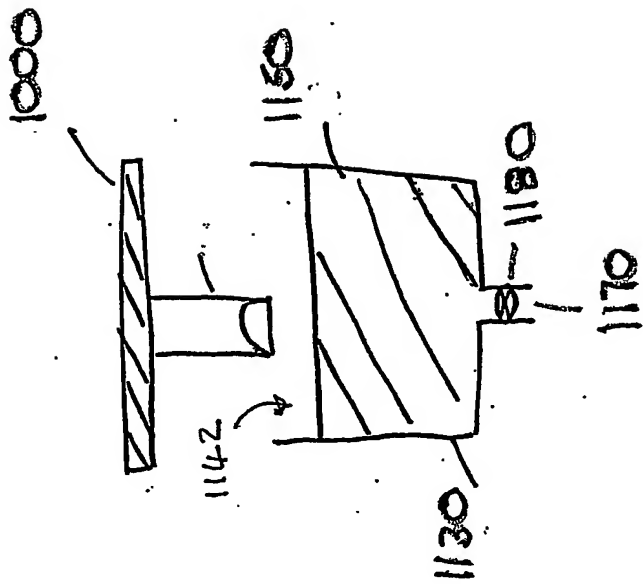


Figure 14c

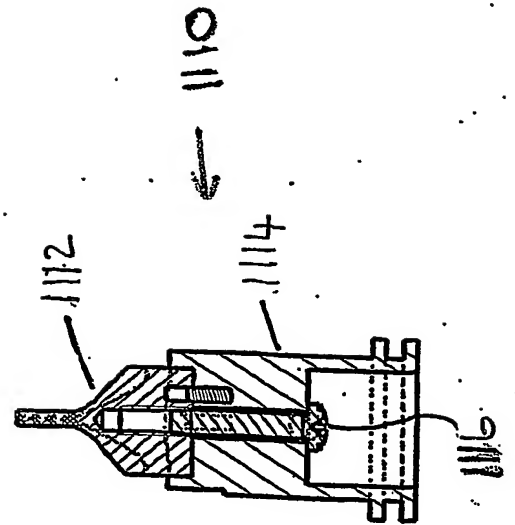
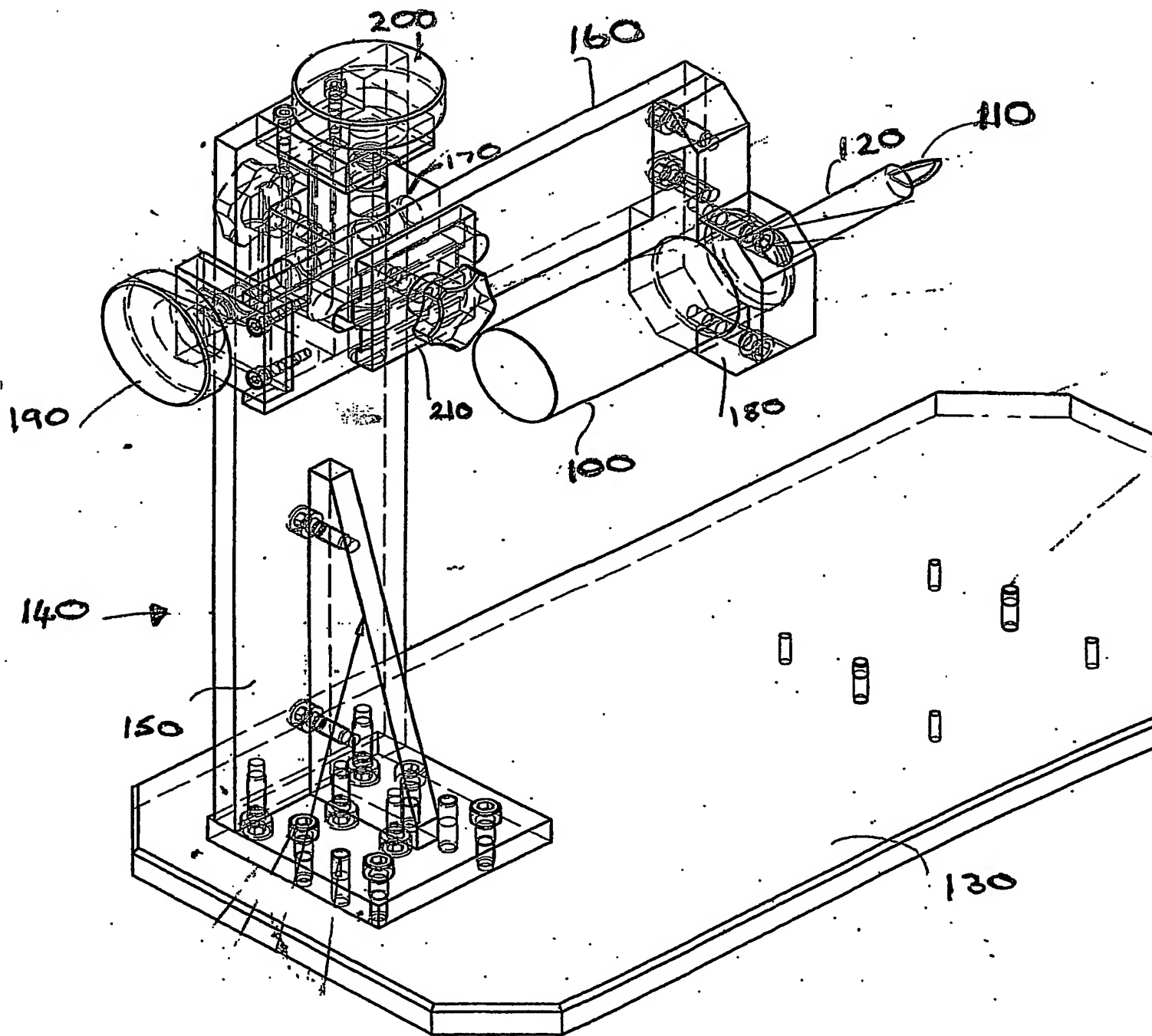
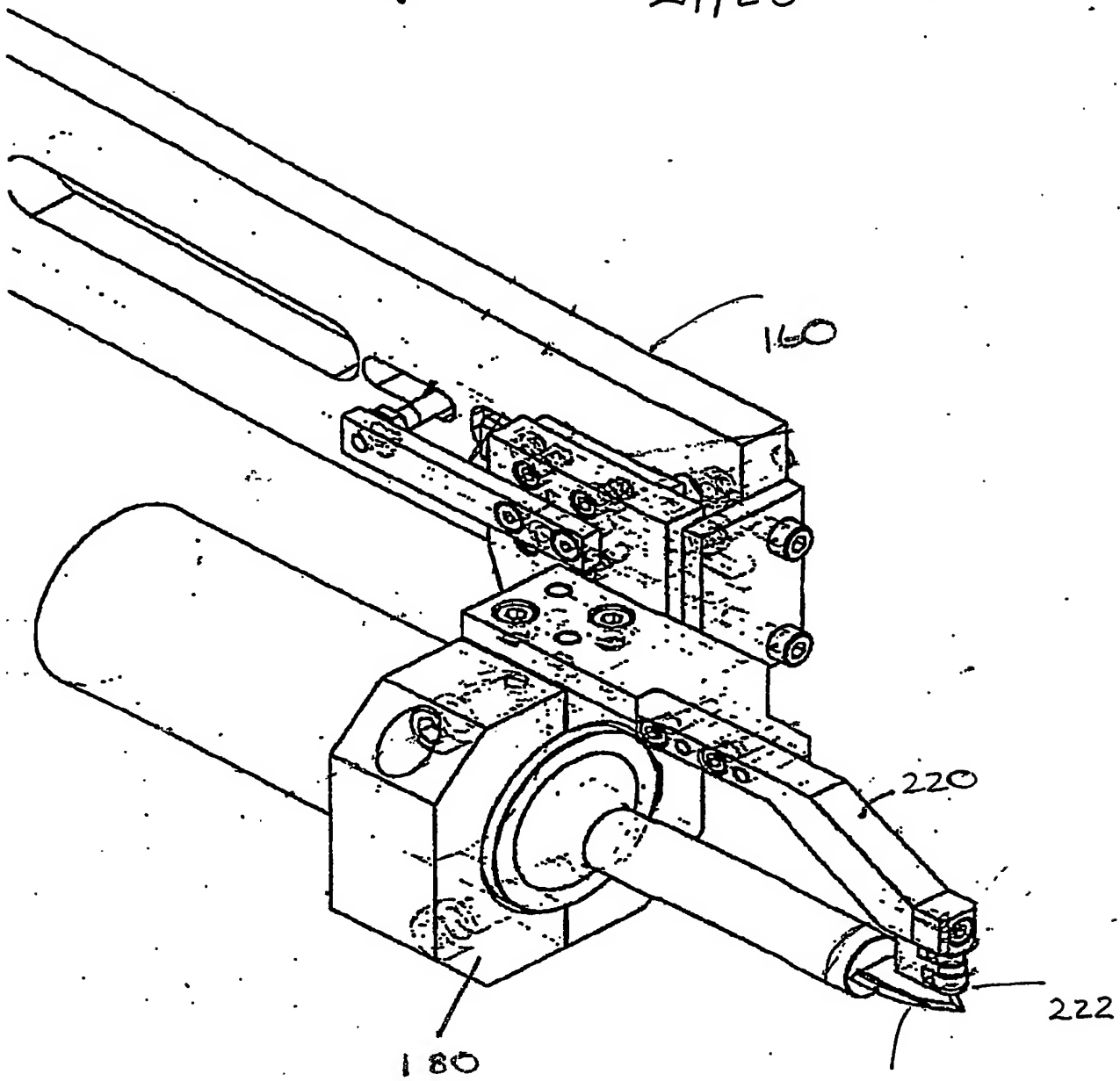
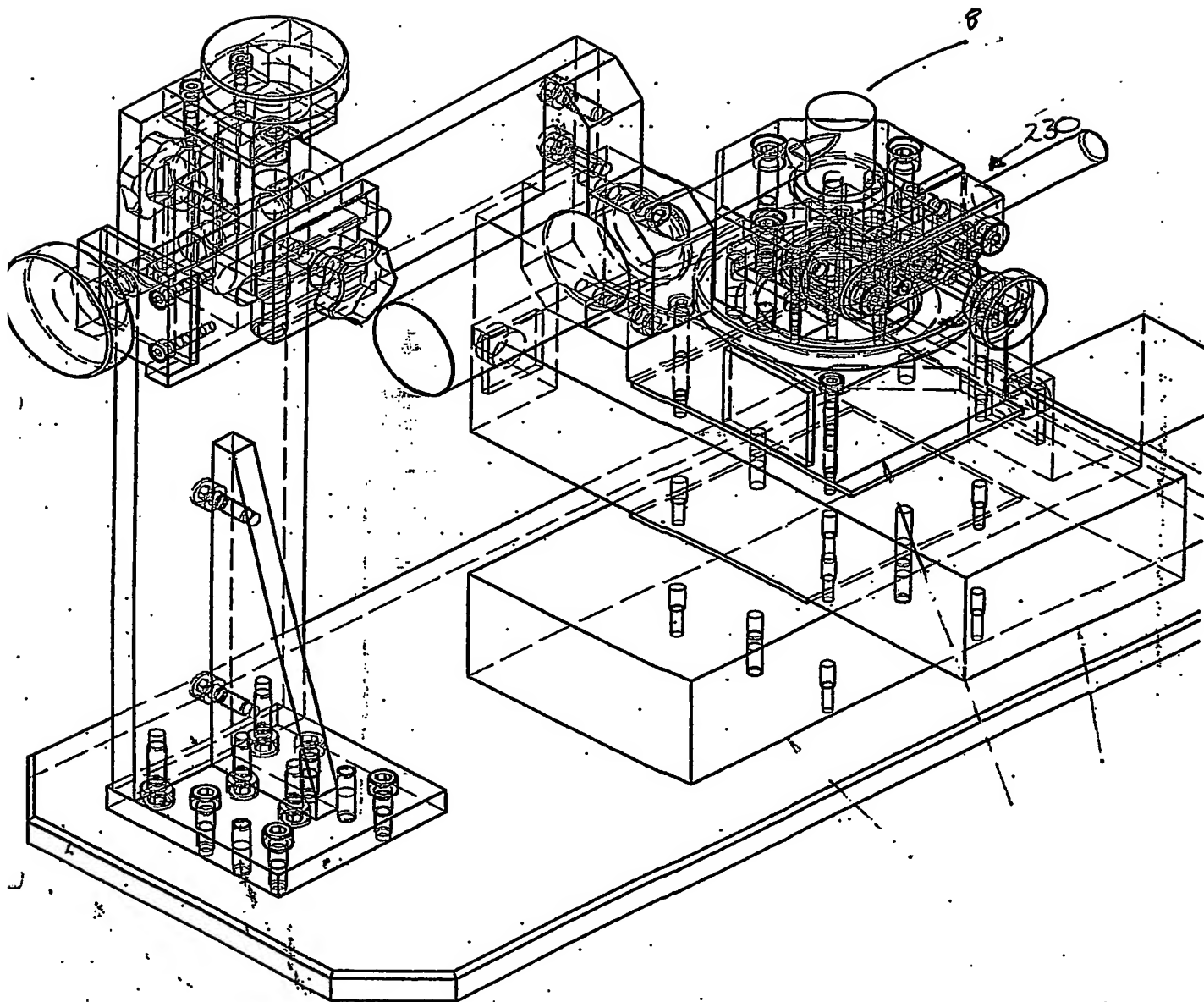


FIGURE 15

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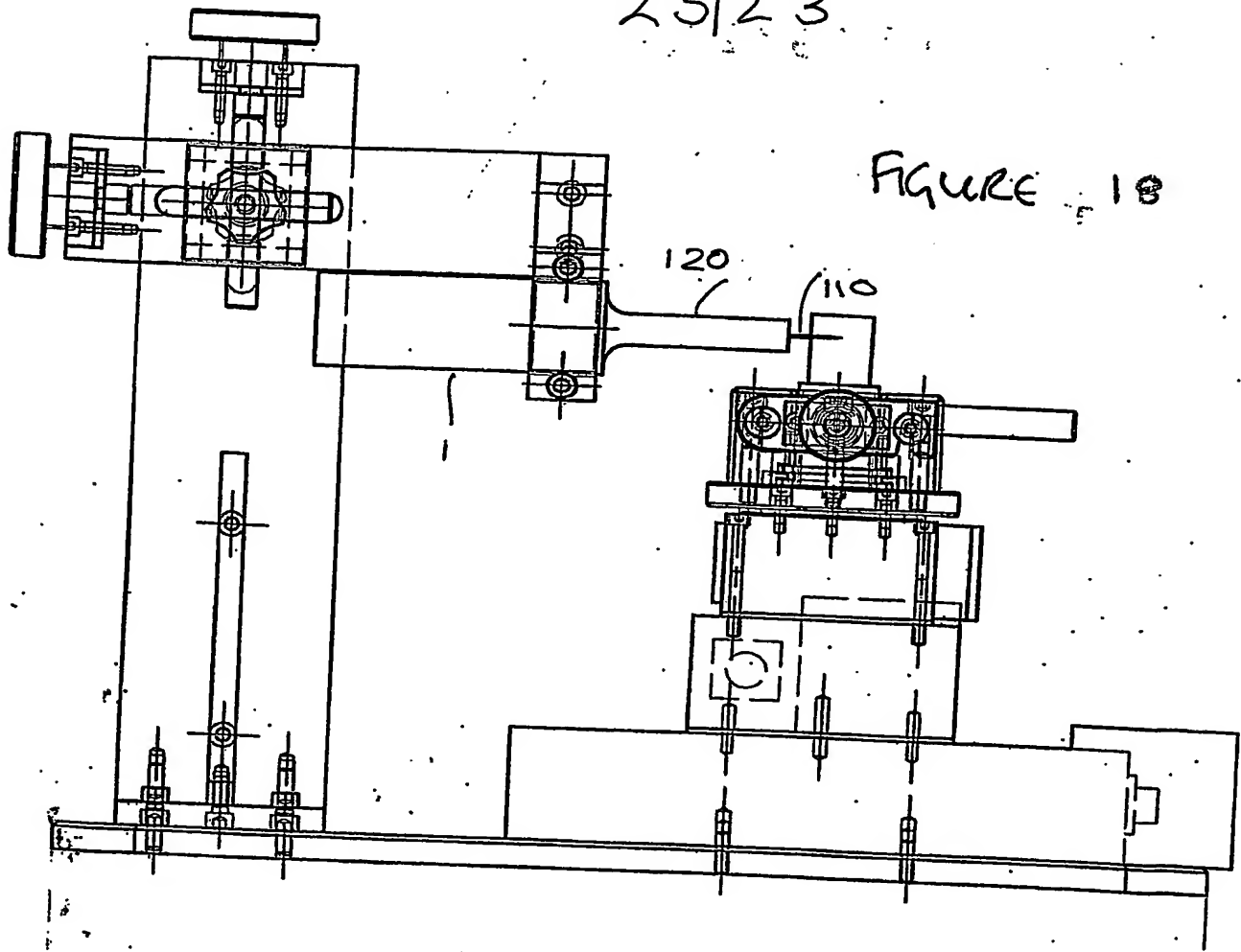






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FIGURE 18



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